

Category	Title
<b>NFR</b>	
3.D	Crop production and agricultural soils
3.D.a.1, 3.D.a.2.a, 3.D.a.2.b, 3.D.a.2.c 3.D.a.3, 3.D.a.4, 3.D.b, 3.D.c, 3.D.d, 3.D.e	Inorganic N fertilisers (includes urea); Livestock manure applied to soils; Sewage sludge applied to soils; Other organic fertilisers applied to soils (including compost); Urine and dung deposited by grazing livestock; Crop residues applied to soils*; Indirect emissions from managed soils; Farm-level agricultural operations including storage, handling and transport of agricultural products; Off-farm storage, handling and transport of bulk agricultural products; Cultivated crops
3.D.f	Use of pesticides
3F	Field burning of agricultural residue
<b>SNAP</b>	
	The Nomenclature for Reporting (NFR) codes do not readily equate to the previous SNAP codes. This chapter provides guidance on the calculation of emissions previously reported under the following SNAP codes
100101	Permanent crops
100102	Arable land crops
100103	Rice field
100104	Market gardening
100105	Grassland
100101	Fallows

#### ISIC

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\* The official nomenclature is misleading as the reporting category not only includes residues actively applied to soils from outside the field, e.g. for the purposes of erosion control or mulching, but also crop residues that are left on the soil surface after harvest and returned to soil by weathering and cultivation.

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# 1 Overview

Inventories of emissions are required for three purposes:

- to provide annual updates of total emissions in order to assess compliance with agreed commitments;
- to identify the main sources of emissions in order to formulate approaches to make the most effective reductions in emissions;
- to provide data for models of air quality dispersion and the impacts of the emissions.

The guidance in this guidebook primarily aims to enable countries to prepare annual national inventories for regulatory purposes. The results obtained using the methods outlined here may also be suitable for some modelling purposes, e.g. the production of abatement cost curves. However, because of the lack of disaggregation at both the temporal and geographical scales, and also because the methods proposed take only limited account of the impacts of weather on emissions, the output may not be suitable for use in other models. This limited account of the impacts of weather is a result mainly of the difficulty in obtaining sufficiently detailed activity data to enable accurate estimates to be made of the impacts of temperature and rainfall, for example, on emissions. If possible, users should develop methods to take account of the influence of more detailed activity data. This guidebook provides methodologies that use inputs that can be reliably obtained by emission inventory compilers.

Ammonia (NH<sub>3</sub>) emissions lead to the acidification and eutrophication of natural ecosystems. NH<sub>3</sub> may also form secondary particulate matter (PM). Nitric oxide (NO) and non-methane volatile organic compounds (NMVOCs) play a role in the formation of ozone (O<sub>3</sub>), which, near the surface of the Earth, can have an adverse effect on human health and plant growth. Particulate emissions also have an adverse impact on human health.

This chapter describes methods to estimate the emissions of NH<sub>3</sub>, NO, NMVOCs and PM from crop production and agricultural soils. Emissions of NH<sub>3</sub> from livestock manures applied to soils, and from the excreta deposited by grazing animals, are determined by the calculations described in Chapter 3.B, 'Manure management'. This is because the methodologies developed to calculate NH<sub>3</sub> emissions from livestock husbandry treat those emissions as part of a chain of events so that the impacts of any factors that affect NH<sub>3</sub> emissions at one stage of manure management on subsequent NH<sub>3</sub> emissions may be taken into account (see Annex 1 of Chapter 3.B 'Manure management'). However, emissions from livestock manures applied to soils and urine and faeces deposited by grazing animals are reported under 3D (3Da2a and 3Da3, respectively). The two emission terms are calculated separately in Chapter 3B. Emissions following application of mineral nitrogen (N) fertilisers, sewage sludge and other organic wastes (including digestates produced by anaerobic digestion) are calculated in this chapter.

The persistent organic pollutants should be reported under 3.D.f 'Use of pesticides' or 3.I 'Agriculture other'; as yet, no robust methodology has been developed.

Emissions (principally NH<sub>3</sub>) also arise from cultivated crops and crop residues. However, these emissions are very uncertain and it is not currently possible to provide robust methodologies for these sources. Nevertheless, given the large areas of crops, even if emissions per ha are only c. 1–2 kg ha<sup>-1</sup>, total emissions are potentially large. Hence, inventory compilers should be aware of the potential impact of these emissions and that further work may enable the development of reliable methods for their calculation.

Crop production and agricultural soils typically contribute c. 32 % of the total source strength for European emissions of NH<sub>3</sub> (Table 1.1 below) and c. 2.4 % of NO (Table 1.1), albeit the contributions vary widely among European Union (EU) Member States. Emissions of gaseous N species from crop production and agricultural soils are generally related closely to the amount of fertiliser N applied. Further information on NO is provided in Annex 2 (A2.1).

Crop production and agricultural soils are currently estimated to emit only c. 1 % of total NMVOC emissions (Table 1.1), and therefore do not yet require a methodology for calculation. However, given current uncertainties regarding the magnitude of NMVOC emissions from agricultural crops, some information is given in this chapter, in order to provide background information and a tool to estimate the order of magnitude of these emissions, as well as to highlight current uncertainties.

Particulate emissions are reported in fractions varying from fine PM (smaller than 2.5 µm (PM<sub>2.5</sub>)) to coarse (total suspended particles (TSP)). Emissions from tillage land are currently estimated to account for < 1 %, c. 6 % and c. 20 % respectively of PM<sub>2.5</sub>, PM<sub>10</sub> and emissions in the EU, respectively.

This chapter is divided into two separate sections. The first section, the main part of the chapter, provides guidance on methodologies for calculating emissions at the Tier 1 and Tier 2 levels. The second part, the annexes, provides the scientific documentation underlying the Tier 1 and 2 methodologies and guidance for the development of Tier 3 methodologies.

Emissions from the movement of agricultural vehicles on unpaved roads, from the consumption of fuels and emissions due to the input of pesticides are not included here (see relevant chapters under 1A for mobile machinery and 3Df for the use of pesticides). Pollen and other wind-blown particles from cultivated soils that do not arise directly from field operations are considered as natural emissions. Further information on PM is provided in Annex 4.

**Table 1.1 Contributions to emissions from crop production and agricultural soils**

	NH <sub>3</sub> (t)	NO <sub>x</sub>	NMVOC	PM <sub>2.5</sub>	PM <sub>10</sub>	TSP
Total, Gg a <sup>-1</sup>	3 810	8 166	6 933	1 220	1 808	3 440
Crop production and agricultural soils, Gg a <sup>-1</sup>	1 236	199	89	13	92	667
Crop production and agricultural soils, %	32.4	2.4	1.3	1.0	5.1	19.3

**Notes:** The figures are 2013 estimates for EU-27.

The estimates of NH<sub>3</sub> emissions includes those from the application of livestock manures to agricultural soils and during grazing.

Gg a<sup>-1</sup>: Gigagrammes per year

Source: <http://ceip.at>

## 2 Description of sources

The sources to be reported in Chapter 3D are described in Table 2.1. Emissions from some of these sources are likely to be small. Methodologies are provided to enable users to make an estimate of the size and importance of these emissions.

**Table 2.1 Codes for the reporting of gaseous emissions from soils and the sources they cover**

NFR	Name	Definition and clarification of source	Are emission factors available?
3.D.a.1	Inorganic N fertilisers (includes urea)	Emissions that arise during and after the application of N fertilisers to land.	NH <sub>3</sub> — yes and a revised Tier 2.
		Not emissions arising from the handling of N fertilisers after delivery to the farm but before application to land; these are to be included with emissions during the handling and storage of other dry bulk materials in 3Dc	NO PM — no method
3.D.a.2.a	Livestock manure applied to soils	Livestock manure applied to soils. The guidance for calculating these emissions is given in Chapter 3B	NH <sub>3</sub> — yes, calculated in 3B NO
3.D.a.2.b	Sewage sludge applied to soils	Sewage sludge applied to soils	NH <sub>3</sub>
3.D.a.2.c	Other organic fertilisers applied to soils (including digestate and compost)	Organic fertilisers, other than livestock manures and sewage sludge, applied to soils (including digestate and compost)	NH <sub>3</sub> NO
3.D.a.3	Urine and dung deposited by grazing livestock	Urine and dung deposited by grazing livestock to fields during grazing. The guidance for calculating these emissions is given in Chapter 3B	NH <sub>3</sub> — yes, calculated in 3B
3.D.a.4	Crop residues applied to soils	All non-senesced <sup>(a)</sup> crop residues which are either returned or applied to soils. In the great majority of cases these will be residues from the crop grown in that field which remain on the soil surface. However, in some cases crop residues may be imported to the field in order to control erosion, act as a mulch or a source of nutrients	No method
3.D.b	Indirect emissions from managed soils	Emissions resulting from the deposition of N emitted from managed soils	No method
3.D.c	Farm-level agricultural operations including storage, handling and transport of agricultural products	This source includes not only emissions arising from the handling and storage of agricultural products on farms, such as grain, but also emissions during the handling and storage of products produced elsewhere to be used on the farm such as fertilisers and livestock feeds	Soil cultivation and crop harvesting are currently reported to account for 80 % of PM emissions in 3D <sup>(b)</sup> The values for PM do not include emissions from fertiliser, pesticides or from grassland, e.g. hay making
3.D.d	Off-farm storage, handling and transport of bulk agricultural products	Off-farm storage, handling and transport of bulk agricultural products	Any emissions from this source are to be reported here because they would not be reported elsewhere. However, no methodology has yet been developed for these
3.D.e	Cultivated crops	Ammonia emissions arising from standing or 'cultivated' crops. This source is distinct from emissions of NH <sub>3</sub> that arise from the	No method

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application of fertiliser to crops (which are reported under 3Da1 and 3Da2a-c)

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<sup>(a)</sup> See subsection 2.1.1 for the difference between non-senesced and senesced residues.

<sup>(b)</sup> Since PM emissions from livestock production arise from buildings, these are calculated and reported in 3.B.

There are four main sources of emissions from crop production and agricultural soils:

- mineral N fertiliser, livestock manure and organic waste application (NH<sub>3</sub>);
- soil microbial processes (NO);
- crop processes (NH<sub>3</sub> and NMVOCs);
- soil cultivation and crop harvesting (PM).

## 2.1 Process description

### 2.1.1 Ammonia

NH<sub>3</sub> volatilisation occurs when NH<sub>3</sub> in solution is exposed to the atmosphere. The extent to which NH<sub>3</sub> is emitted depends on the chemical composition of the solution (including the concentration of NH<sub>3</sub>), the temperature of the solution, the surface area exposed to the atmosphere and the resistance to NH<sub>3</sub> transport in the atmosphere.

Although N fertilisers are normally applied as solids, there is usually sufficient moisture in the soil or air for the fertiliser to dissolve. High pH favours the volatilisation of NH<sub>3</sub> from many N fertilisers, so if the soil is acidic (i.e. pH values of less than 7), the degree of volatilisation will tend to be small. In contrast, if the soil is alkaline, the potential for volatilisation will tend to be larger. In general, NH<sub>3</sub> emissions will increase with increasing temperature. Direct emissions of NH<sub>3</sub> occur from only fertilisers containing N as ammonium (NH<sub>4</sub><sup>+</sup>) or if, as for urea, the fertiliser is rapidly decomposed to NH<sub>3</sub>. Those fertilisers containing N as only nitrate (NO<sub>3</sub><sup>-</sup>) are not direct sources of NH<sub>3</sub> but may increase NH<sub>3</sub> emissions via crop foliage.

NH<sub>3</sub> emissions that occur in the 7 to 10 days after N fertiliser application include some emissions from the crop canopy, because of the increase in the concentration of N in the leaves of crops after the addition of fertiliser N. Emissions from the crop canopy that occur at this time cannot be distinguished from emissions that take place directly from applied N fertiliser and are included with N fertiliser emissions. Once direct NH<sub>3</sub> emissions after N fertiliser application have ceased, there may be a net emission of NH<sub>3</sub>, or net deposition, depending on many factors, including the N status of the plant; the crop or plant growth stage; stresses such as drought and disease; the time of day; and the ambient NH<sub>3</sub> concentration. Later in the season, during grain filling and senescence, net NH<sub>3</sub> emissions from standing crops can occur. The emission of NH<sub>3</sub> from crops is a complex process as it is influenced by both the concentration of NH<sub>3</sub> in the air and environmental conditions. NH<sub>3</sub> emissions occur when organic manures (livestock manure, sewage sludge and other organic wastes) are applied to land. As for N fertilisers, these emissions occur because a proportion of the N is present as ammonium in the liquid fraction.

NH<sub>3</sub> emissions may also be emitted from crop residues as they senesce and break down. The degradation of proteins within the residues leads to the formation of NH<sub>4</sub><sup>+</sup>. Senesced residues, such as cereal straw, are not considered to be sources of NH<sub>3</sub> emissions.

The difficulty in the estimation of NH<sub>3</sub> flux from standing crops and crop residues is increased by limited measurements of NH<sub>3</sub> flux, especially in field environments and for whole seasons or years. As a consequence, it has not yet been possible to develop a robust and usable methodology to calculate these emissions.

For further details see, Annex 1 (A1.1.1).

#### 2.1.2 Nitric oxide

In agricultural soils, where pH is likely to be maintained above 5.0, nitrification is considered to be the dominant pathway of NO emission. Nitrification is the process by which microorganisms oxidise NH<sub>4</sub><sup>+</sup>-N to NO<sub>3</sub><sup>-</sup>-N. The main determinants of NO production during crop production and in agricultural soils are mineral N concentration, temperature, soil carbon (C) concentration and soil moisture.

Increased nitrification is likely to occur after the application of fertilisers containing NH<sub>4</sub><sup>+</sup>, soil cultivation and the incorporation of crop residues. Activities such as tillage and incorporation are considered to increase NO emissions by a factor of four, for periods of between 1 and 3 weeks.

The 2006 Intergovernmental Panel on Climate Change (IPCC) guidelines (IPCC, 2006) account for indirect N<sub>2</sub>O emissions, i.e. those that result from the deposition of N emitted as NH<sub>3</sub> from N fertilisers, organic wastes, urine and dung N deposited on land grazed by livestock; N in crop residues (above and below ground), including N-fixing crops and forage/pasture renewal returned to soils; and N mineralisation associated with loss of soil organic matter resulting from change of land use or management on mineral soils. Since NO is produced as an intermediate product of nitrification and denitrification, indirect emissions should be accounted for here. However, it has not yet been possible to develop a methodology. For further details, see Annex 2 (A2.2.1).

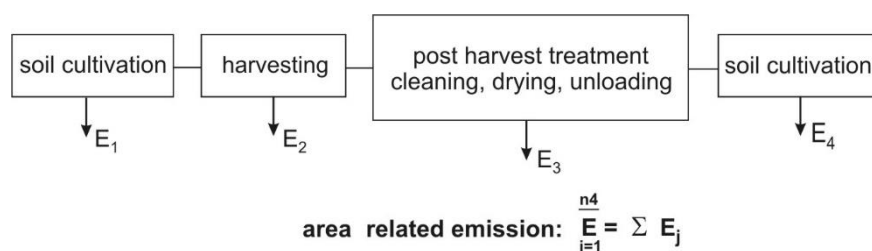
#### 2.1.3 Non-methane volatile organic compounds

Emissions from crops may arise to attract pollinating insects, eliminate waste products or as a means of losing surplus energy. The NMVOCs emitted have proven difficult to quantify in atmospheric samples. Factors that can influence the emission of NMVOCs include temperature and light intensity, plant growth stage, water stress, air pollution and senescence. For further details see, Annex 3 (A3.1.1).

#### 2.1.4 Particulate matter

The main sources of PM emissions from soil result from soil cultivation and crop harvesting, which together account for > 80 % of total PM<sub>10</sub> emissions from tillage land (CEIP, 2015). These emissions originate at the sites at which the tractors and other machinery operate, and are thought to consist of a mixture of organic fragments from the crop and soil mineral and organic matter. There is considerable settling of dust close to the sources and washing out of fine particles by large particles. Field operations may also lead to the re-suspension of dust that has already settled (re-entrainment). Emissions of PM are dependent on climatic conditions, and in particular the moisture of the soil and crop surfaces.

**Figure 2.1 Process scheme for PM emissions from crop production and agricultural soils**



Emissions of PM vary according to the following:

- the type of crop;
- the physical properties of the particles;
- the origin of the particles, i.e. soil, plant or machinery;
- the meteorological conditions of the soil and/or produce before and during the operation (wind speed, temperature, rain fall, humidity);
- the type of operation;
- the parameters of the machinery (working speed, working capacity, working surface).

The processes which result in particulate emissions are largely low-temperature mechanical activities, and emissions are unlikely to include substantial quantities of condensable particulate material.

For further details, see Annex 2 (A4.2.1).

## 2.2 Measured emissions

### 2.2.1 Ammonia

Based on reported measurements and reviews of NH<sub>3</sub> from fertilisers, it was concluded that NH<sub>3</sub> emissions from urea are the most variable, ranging from 6 to 47 % of applied N, and are very dependent on factors such as soil type, weather conditions and application rates. In contrast, measured emissions from ammonium nitrate (AN) (and calcium AN (CAN)) are much smaller, never exceeding 4 % of applied N. There are fewer studies of other fertilisers such as ammonium sulphate (AS) and diammonium phosphate (DAP). Variations in emissions result from differences in soil type and time of application. In addition, application method (broadcast, incorporation and deep placement in the soil, etc.) will affect NH<sub>3</sub> emissions. The default emission factors (EFs) are based on broadcast application but incorporation of fertiliser will need to be taken into account if it is practised.

There are relatively few studies on emissions from crops and crop residues. The emissions appear to be low (1–3 kg ha<sup>-1</sup> year<sup>-1</sup>), but given the large areas dedicated to crop production, the emissions are likely to be significant at the national scale.

The field application of sewage sludge is a source of NH<sub>3</sub> emissions, but emissions are very uncertain.

For information related to livestock manure and organic wastes, see Chapters 3B and 5B.

Further information on NH<sub>3</sub> is provided in Annex 1 (A1.1.2).

### 2.2.2 Nitric oxide

A review of a global data set of NO measurements from 189 agricultural fields, but biased towards industrialised countries, has shown that NO emissions are closely related to the amount of N applied. Broadcasting fertiliser N results in greater NO emissions than incorporating fertiliser N or applying it as solution. Soils with organic C contents of > 3 % have significantly greater NO emissions than soils with < 3 % organic C, and good drainage, coarse texture and neutral pH promote NO emissions. Fertiliser and crop type do not appear to significantly influence NO emissions.

For further details, see Annex 2 (A2.2.2).

The proportion of N lost as NO from indirect emissions arising from N deposition to agricultural land is assumed to be the same as for the direct emissions. There are very few data on indirect emissions of NO from agricultural land.



### 2.2.3 *Non-methane volatile organic compounds*

Hewitt and Street (1992) concluded that only c. 700 plant species, mainly from North America, had been investigated as isoprene or monoterpene emitters. Few of these were agricultural crops, and quantitative data were available for only a few species. Many measurements had been made at temperatures higher than those prevailing in northern and western Europe. However, based on these limited data, a preliminary estimate of the order of magnitude of crop emissions can be made. Further information on how the methodology was developed is provided in Annex 3 (A3.1.1).

### 2.2.4 *Particulate matter*

Emissions from crop production arise from soil cultivation, harvesting and cleaning, of which soil cultivation is the largest source. In wet climates, the drying of crops gives rise to particularly large emissions, emitting more PM than any of the other activities. There are a wide range of different variables that have significant impacts on the emissions from the different activities. In general, the most important variable is the moisture of the soil and crop surface, but emissions will also very much depend on the crop type, soil type, cultivation method and weather conditions in general before and while working. Total dust emissions from crop management have a large mass fraction in the coarse fraction compared with other sources of PM or dust. This is typically the case for all sources of suspended or mechanically generated dust or PM, rather than combustion sources, and the latter has a much greater mass fraction in the fine and ultrafine PM fractions.

## 2.3 Controls

### 2.3.1 *Ammonia*

NH<sub>3</sub> emissions from the application of manure and fertiliser N can be reduced by complying with the United Nations Economic Commission for Europe (UNECE) Framework Advisory Code of Good Agricultural Practice for Reducing Ammonia Emissions

(<https://www.unece.org/fileadmin/DAM/env/documents/2014/AIR/WGSR/eb.air.wg.5.2001.7.e.pdf>) and the draft *Guidance document on preventing and abating ammonia emissions from agricultural sources*

(<https://www.unece.org/fileadmin/DAM/env/documents/2014/AIR/WGSR/eb.air.wg.5.2001.7.e.pdf>) and related guidelines, for example by the rapid incorporation of urea immediately after application. However, the majority of fertiliser N is applied to growing crops of cereals or grass, for which incorporation is seldom a practical option. Rapid incorporation is also an effective means of reducing NH<sub>3</sub> emissions from sewage sludge and non-senesced crop residues.

### 2.3.2 *Nitric oxide*

No potential controls have been proposed for NO emissions from fertilised crops, but the topic is discussed in Annex 2 (A2.2.3).

### 2.3.3 *NMVOCs*

No potential controls have been proposed for NMVOC emissions from fertilised crops.

### 2.3.4 *Particulate matter*

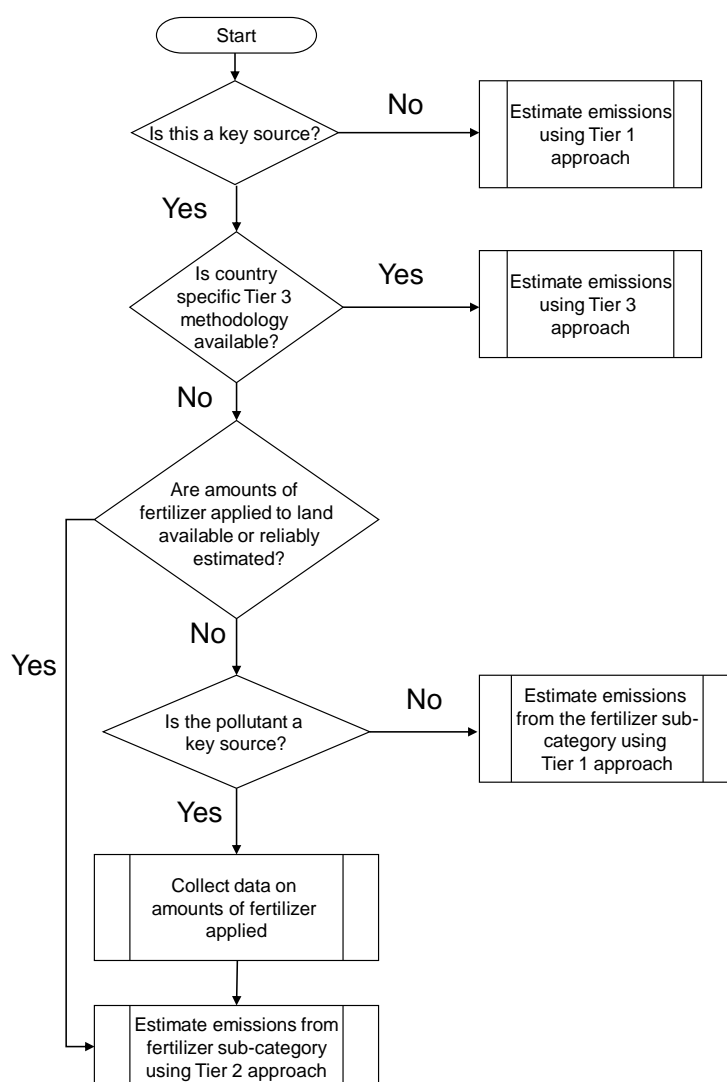
No potential controls have been proposed for PM emissions from tillage operations.

## 3 Methods

### 3.1 Choice of method

Figure 3.1 provides an example decision tree for this source category. Starting from the top left, it guides the user towards the most applicable approach. General guidance on the identification of key sources can be found in the general guidance chapters of the *EMEP/EEA air pollutant emission inventory guidebook*, namely Chapter 2, 'Key category analysis and methodological choice' (EMEP/EEA, 2016).

**Figure 3.1 Decision tree for source category 3.D Crop production and agricultural soils**



### 3.2 Calculating emissions

Emissions of  $\text{NH}_3$  resulting from the application, to land, of livestock manures, mineral N fertilisers and organic wastes need to be reported under 3D. However, as indicated above, emissions of  $\text{NH}_3$  from the application to land of livestock manures are calculated in Chapter 3B, while those relating to digestate from anaerobic digestion are calculated in Chapter 5B. This is because the emissions of  $\text{NH}_3$  at one stage of manure management, e.g. during housing, influence the  $\text{NH}_3$  emissions at later

stages of manure management, e.g. during manure storage and application to land. Hence, the more NH<sub>3</sub> is emitted at early stages of manure management, the less N is available as a source of emission later. For this reason, emissions at the Tier 2 level are calculated sequentially using a mass-flow approach. The Tier 1 default EFs are derived from the Tier 2 mass-flow method.

Manure management also effects NH<sub>3</sub> emissions from grazed pastures. The more time grazing livestock are housed, the smaller the proportion of their excreta deposited on grazed pastures will be, and hence the smaller the emissions from those pastures.

Emissions from grazed pasture and after the application of livestock manures to land need to be reported separately. The guidance and both Tier 1 and Tier 2 methodologies for estimating emissions from livestock manures applied to land and from excreta deposited by livestock during grazing are presented in Chapter 3B.

There are currently no methodologies available for calculating the emissions from standing crops and non-senesced crop residues.

Note that NO emissions are reported together with NO<sub>2</sub> emissions, as NO<sub>x</sub>. The NO emissions are converted to NO<sub>2</sub> when reporting emissions of NO<sub>x</sub>. Further information on NO is provided in Annex 2 (A2.1).

## 3.3 Default tier 1 approach

### 3.3.1 Algorithm

The Tier 1 approach for NH<sub>3</sub> and NO emissions from crop production and agricultural soils uses the general equation:

$$E_{\text{pollutant}} = AR_{\text{N\_applied}} \times EF_{\text{pollutant}} \quad (1)$$

where:

$E_{\text{pollutant}}$	=	amount of pollutant emitted (kg a <sup>-1</sup> ),
$AR_{\text{N\_applied}}$	=	amount of N applied in fertiliser or organic waste (kg a <sup>-1</sup> ),
$EF_{\text{pollutant}}$	=	EF of pollutant (kg kg <sup>-1</sup> ).

This equation is applied at the national level, equating AR<sub>N\_applied</sub> to the annual national total fertiliser N use or organic waste application.

The Tier 1 approach for NMVOC and PM emissions from crop production and agricultural soils uses the general equation:

$$E_{\text{pollutant}} = AR_{\text{area}} \times EF_{\text{pollutant}} \quad (2)$$

where  $E_{\text{pollutant}}$  is the amount of pollutant emitted (in kg a<sup>-1</sup>);  $AR_{\text{area}}$  is the area covered by crop (in ha); and  $EF_{\text{pollutant}}$  is the EF of pollutant (in kg ha<sup>-1</sup> a<sup>-1</sup>).

The value of  $AR_{\text{area}}$  is equated to the utilised agricultural area (UAA), which includes all cropland, permanent pasture and rough grazing land.

## 3.3.2 Default emission factors

Table 3.1 Tier 1 EFs for source category 3.D

Tier 1 default EFs						
	Code	Name				
<b>NFR Source Category</b>	3.D	Inorganic N fertilisers, sewage sludge, other organic fertilisers, cultivated crops, crop residues and farm-level agricultural operations including storage, handling and transport of agricultural product				
<b>Fuel</b>	NA					
<b>Not applicable</b>						
<b>Not estimated</b>						
Pollutant	Value	Unit	95 % confidence interval		Reference	NFR Code
			Lower	Upper		
NH <sub>3</sub> from N fertiliser	0.05	kg NH <sub>3</sub> kg <sup>-1</sup> fertiliser N applied	NC	NC	See Annex 1 1.2	3Da1
NH <sub>3</sub> from livestock manure applied or deposited to soil	See Tables 3.2 and 3.9 in Chapter 3B					3Da2a, 3Da3
NH <sub>3</sub> from sewage sludge	0.0068 or 0.13	kg NH <sub>3</sub> capita <sup>-1</sup> kg NH <sub>3</sub> (kg N applied) <sup>-1</sup>	NC	NC	See Annex 1 (A1.1.2)	3Da2b
NH <sub>3</sub> emission from Other organic wastes	0.08	kg NH <sub>3</sub> (kg waste N applied) <sup>-1</sup>	NC	NC	Method for fertiliser applications	3Da2c
NO from N applied in fertiliser, manure and excreta <sup>(a)</sup>	0.04	kg NO <sub>2</sub> kg <sup>-1</sup> fertiliser and manure N applied	0.005	0.104	Stehfest and Bouwman (2006)	3Da1 (fertiliser), 3Da2a (manure), 3Da3 (excreta)
NO from sewage sludge <sup>(a)</sup>	0.002	kg NO <sub>2</sub> capita <sup>-1</sup>	NC	NC	See Annex 2 (A2.3)	3Da2b
NO emission from other organic wastes <sup>(a)</sup>	0.04	kg NO <sub>2</sub> kg <sup>-1</sup> waste N applied	0.005	0.104	Stehfest and Bouwman (2006)	3Da2c
NM VOC from standing crops	0.86	kg ha <sup>-1</sup>	0.22	3.44	König et al. (1995), Lamb et al. (1993)	3De
PM <sub>10</sub> from agricultural operations	1.56	kg ha <sup>-1</sup>	0.78	7.8	van der Hoek and Hinz (2007)	3Dc
PM <sub>2.5</sub> from agricultural operations	0.06	kg ha <sup>-1</sup>	0.03	0.3	van der Hoek and Hinz (2007)	3Dc
TSP	1.56	kg ha <sup>-1</sup>	0.78	7.8	van der Hoek and Hinz (2007)	3Dc

<sup>(a)</sup> NO emissions are reported as NO<sub>2</sub>. NC, not calculable.

**Ammonia**

The Tier 1 default NH<sub>3</sub> EF for emissions from fertiliser has been derived as a mean of default EFs for individual N fertilisers weighted according to their use as reported by the International Fertilizer Industry Association (IFA) for Europe in 2014 ([www.fertilizer.org](http://www.fertilizer.org)). More information on the key equations and assumptions behind these defaults can be found in Annex 1.

Emissions from livestock manure applied to land or deposited during grazing should be calculated using the method described in section 3.3 of Chapter 3B.

The emission from sewage sludge (3Da2b) is calculated by multiplying the emission per capita in Table 3.1 by the human population of the relevant territory. The contribution of digestates produced as a result of anaerobic digestion of organic wastes (including manure) to the ammonia emission from N applied in other organic wastes (3Da2c) should be obtained from Chapter 5B2 (Biological treatment of waste — Anaerobic digestion at biogas facilities).

### **Nitric oxide**

The NO EF was calculated from Table 6 of Stehfest and Bouwman (2006), as the weighted average of the EFs for cropland and grassland. No information on differences in NO emissions from use of mineral fertilisers or livestock manure was mentioned by Stehfest and Bouwman (2006).

Emissions from livestock manure applied to land (3Da2a) should be calculated by multiplying the annual average population of each livestock category by the appropriate Nex values in Table 3.7 of Chapter 3B. The Tier 1 methodology does not distinguish between emissions from manure applied to land (3Da2a) or those from excreta deposited during grazing (3Da3). For each livestock category, the emissions should be reported under 3Da2a if the livestock are in animal housing for most of the year or under 3Da3 if they are predominantly grazed.

The emission from sewage sludge (3Da2b) is calculated by multiplying the emission per capita in Table 3.1 by the human population of the relevant territory.

The contribution of digestates produced as a result of anaerobic digestion of organic wastes (including manure) to the N applied in other organic wastes (3Da2c) should be obtained from Chapter 5B2 (Biological treatment of waste — Anaerobic digestion at biogas facilities).

### **Non-methane volatile organic compounds**

A Tier 1 EF for NMVOCs is presented in Table 3.1. This has been determined by aggregating detailed data provided by König et al. (1995) and Lamb et al. (1993). A number of assumptions have to be incorporated into the aggregation methodology.

The underlying data and method for determining the Tier 1 EF are presented and explained under the description of the Tier 2 methodology (section 3.4).

### **Particulate matter**

The Tier 1 EFs for PM do not include emissions from fertiliser, pesticides or from grassland, e.g. hay making. These emissions are mainly from combine harvesting and soil cultivation. Detailed information on PM emissions from agricultural fields is included in Annex 4. The Tier 1 EFs are based on the work of van der Hoek and Hinz (2007), but represent a simplification and aggregation of the detailed data, to give a single value for PM emissions per hectare.

#### **3.3.3 Activity data**

The UAA for most European countries can be obtained from Eurostat. Information is required on the annual national consumption of total N fertiliser. Annual fertiliser consumption data may be collected from official country statistics, often recorded as fertiliser sales and/or as domestic production and imports. The amounts and types of sewage sludge applied to land will also need to be known. To calculate emissions of NO, data on additions of N in manures and excreta are also needed. Methods to estimate emissions of NO after manure application and from excreta deposited during grazing are provided in Chapter 3.B, Manure management.

### 3.4 Tier 2, technology-specific approach and emission factors

#### 3.4.1 Algorithm

##### Ammonia

##### *Ammonia emissions from soils*

NH<sub>3</sub> emissions from fertilisers and emissions from foliage in the weeks immediately after fertiliser application are treated here as a single integrated term because it is not possible to separately identify the two sources experimentally. These are estimated as proportional losses of the fertiliser N use for each of the main fertiliser categories. Emissions from unfertilised crops are considered to be zero.

The methodology was developed from the results of a meta-analysis of trials in which NH<sub>3</sub> emissions were measured following the application of 31 different types of N fertiliser. Details of the meta-analysis and development of the methodology to estimate Tier 2 emissions are provided in Annex 1 (A1.1.2).

**3.D.a.1** emissions after N fertiliser application are calculated as outlined below.

##### Step 1

Quantify the areas of the agricultural and horticultural land that are in the climatic zones 'Cool', 'Temperate' and 'Warm', as defined in Tables 10.14 and 10.15 of Chapter 10 ('Emissions from livestock and manure management') of IPCC, 2006.

##### Step 2

Quantify the areas within each climate zone in which the soil pH is above or below 7.0. For the purpose of calculating emissions after N fertiliser application, the area with soils of < pH 7.0 will be designated as 'normal' pH, while the area with soils of > pH 7.0 will be designated as 'high' pH. Each combination of climatic zone and soil pH is referred to here as an 'emission region'.

##### Step 3

Quantify the amounts of each fertiliser type shown in Table 3.2 that are applied to agricultural and horticultural land.

##### Step 4

Quantify the amounts of each fertiliser type applied in each emission region. If these data are not available or cannot be estimated by agricultural experts, estimate this amount as follows:

$$m_{fert_{i,j}} = m_{fert_i} * area_j / \sum_{j=1}^J area_j \quad (3)$$

where  $m_{fert_{i,j}}$  is the mass (in kg) of fertiliser type  $i$  in region  $j$ ,  $m_{fert_i}$  is the mass of fertiliser type  $i$  consumed nationally (kg a<sup>-1</sup>, N),  $area_j$  is the area of region  $j$  (in ha) and  $J$  is the total number of regions in the country.

##### Step 5

Calculate the emission using the following equation:

$$E_{fert_{NH3}} = \sum_{i=1}^I \sum_{j=1}^J (m_{fert_{i,j}} \cdot EF_{i,j}) \quad (4)$$

where  $E_{fert_{NH3}}$  is the emission (in kg a<sup>-1</sup> NH<sub>3</sub>); and  $EF_{i,j}$  is the EF for fertiliser type  $i$  in region  $j$  (in kg NH<sub>3</sub> (kg N applied)<sup>-1</sup>).

**Table 3.2 EFs for NH<sub>3</sub> emissions from fertilisers (in g NH<sub>3</sub> (kg N applied)<sup>-1</sup>)**

	Climate					
	Cool		Temperate		Warm	
	normal pH <sup>(a)</sup>	high pH <sup>(b)</sup>	normal pH <sup>(a)</sup>	high pH <sup>(b)</sup>	normal pH <sup>(a)</sup>	high pH <sup>(b)</sup>
Anhydrous ammonia (AH)	19	35	20	36	25	46
AN	15	32	16	33	20	41
Ammonium phosphate (AP) <sup>(c)</sup>	50	91	51	94	64	117
AS	90	165	92	170	115	212
CAN	8	17	8	17	10	21
NK mixtures <sup>(d)</sup>	15	32	22	33	20	41
NPK mixtures <sup>(d)</sup>	50	91	67	94	64	117
NP mixtures <sup>(d)</sup>	50	91	67	94	64	117
N solutions <sup>(e)</sup>	98	95	100	97	126	122
Other straight N compounds <sup>(f)</sup>	10	19	14	20	13	25
Urea <sup>(g)</sup>	155	164	159	168	198	210

<sup>(a)</sup> A 'normal' pH is a pH of 7.0 or below.

<sup>(b)</sup> A 'high' pH is a pH of more than 7.0 (usually calcareous soils).

<sup>(c)</sup> AP is the sum of ammonium monophosphate (MAP) and diammonium phosphate (DAP).

<sup>(d)</sup> NK mixtures are equivalent to AN, NPK and NP mixtures, which are 50 % MAP plus 50 % DAP.

<sup>(e)</sup> N solutions are equivalent to urea AN.

<sup>(f)</sup> Other straight N compounds and equivalent to calcium nitrate.

<sup>(g)</sup> Urea is an organic compound with the chemical formula CO(NH<sub>2</sub>)<sub>2</sub>.

For **3.D.a.2.b**, Emission from sewage sludge applied to soil ( $E_{\text{sludge\_NH}_3}$ ; kg a<sup>-1</sup> NH<sub>3</sub>), no Tier 2 method is proposed. The Tier 1 estimate should be used.

For **3.D.a.2.c**, Emission from other organic fertilisers ( $E_{\text{Other\_NH}_3}$ ; kg a<sup>-1</sup> NH<sub>3</sub>), Tier 1 methodology should be used. For the emission of ammonia from N applied in digestates derived from material other than manure, values should be obtained from Chapter 5B2 (Biological treatment of waste — Anaerobic digestion at biogas facilities), See Chapter 3.B. for emissions **3.D.a.2.a** (Animal manure applied to soils) and **3.D.a.3** (Urine and dung deposited by grazing animals).

### Nitric oxide

There is no Tier 2 methodology for NO. Tier 1 methodology should be used.

If the Tier 2 methodology has been used to calculate NH<sub>3</sub> emissions resulting from manure management (Chapter 3B, section 3.3.1):

1. when calculating emissions attributed to 3Da2a, the N applied should be calculated as the sum of  $m_{\text{applic\_slurry\_N}}$  and  $m_{\text{applic\_solid\_N}}$  (Step 11);
2. when calculating emissions attributed to 3Da3, the N applied should be equated to  $m_{\text{graz\_N}}$  (Step 3).

Otherwise, see the Tier 1 methodology of the current chapter.

#### Non-methane volatile organic compounds

The method for determining Tier 2 EFs is presented below. The same method is used to generate the Tier 1 EF presented in Table 3.3, but a number of assumptions and the use of additional data are required. These are also provided in the information that follows so that the methodology can be used with default values and assumptions if country-specific data (yield, dry matter content, crop areas by crop type) are not available.

The EFs for NMVOC emissions are based on the results of König et al. (1995) and Lamb et al. (1993). Because of the significant differences in emissions from wheat and rye, an average of the NMVOC EFs estimated by König et al. (1995) and Lamb et al. (1993) was chosen for use. The NMVOC EFs for rape and grassland are estimated based on König et al. (1995).

The yield and crop-type allocation is based on data from Food and Agriculture Organization of the United Nations (FAO's) agricultural statistics. For more information, see Annex 3.

**Table 3.3 Estimation of NMVOC Tier1 EFs in kg ha<sup>-1</sup> a<sup>-1</sup>**

	NMVOC, kg DM <sup>-1</sup> ha <sup>-1</sup> *	Fraction of year emitting	NMVOC, kg DM <sup>-1</sup> a <sup>-1</sup>	Mean yield of crop, kg DM ha <sup>-1</sup>	NMVOC, kg ha <sup>-1</sup> a <sup>-1</sup>	Crops distribution	Weighted EF, kg NMVOC ha <sup>-1</sup> a <sup>-1</sup>
Wheat	$2.60 \times 10^{-8}$	0.3	$6.82 \times 10^{-5}$	4700	0.32	0.35	0.11
Rye	$1.41 \times 10^{-7}$	0.3	$3.70 \times 10^{-4}$	2800	1.03	0.05	0.05
Rape	$2.02 \times 10^{-7}$	0.3	$5.30 \times 10^{-4}$	2500	1.34	0.10	0.13
Grass (15 °C)	$1.03 \times 10^{-8}$	0.5	$4.51 \times 10^{-5}$	9000	0.41	0.25	0.10
Grass (25 °C)	$4.67 \times 10^{-8}$	0.5	$2.05 \times 10^{-4}$	9000	1.85	0.25	0.46
Tier1 NMVOC EF (sum of weighted EFs)							0.86

\*DM: dry matter; Source: König et al. (1995), Lamb et al. (1993), FAO (2012).

The data provided in Table 3.4 are used to arrive at these values, and allow the use of country-specific data if they are available in order to determine more accurate EFs.



**Table 3.4 NMVOC emissions from agricultural crops, in kg NMVOC kg<sup>-1</sup> ha<sup>-1</sup>**

Crop	Isoprene	Terpenes	Alcohols	Aldehydes	Ketones	Ethers and others	Total NMVOC emission, kg NMVOC kg DM <sup>-1</sup> ha <sup>-1</sup>
							kg NMVOC kg DM <sup>-1</sup> ha <sup>-1</sup>
Wheat <sup>(a)</sup>	–	–	8.00 × 10 <sup>-10</sup>	2.80 × 10 <sup>-9</sup>	2.20 × 10 <sup>-9</sup>	5.10 × 10 <sup>-9</sup>	1.09 × 10 <sup>-8</sup>
Wheat <sup>(b)</sup>	2.05 × 10 <sup>-8</sup>	8.20 × 10 <sup>-9</sup>	–	–	–	1.23 × 10 <sup>-8</sup>	4.10 × 10 <sup>-8</sup>
Rye <sup>(a)</sup>	–	7.74 × 10 <sup>-8</sup>	1.69 × 10 <sup>-7</sup>	1.92 × 10 <sup>-8</sup>	–	–	2.66 × 10 <sup>-7</sup>
Rye <sup>(b)</sup>	3.20 × 10 <sup>-9</sup>	8.00 × 10 <sup>-9</sup>	–	–	–	4.80 × 10 <sup>-9</sup>	1.60 × 10 <sup>-8</sup>
Rape <sup>(a)</sup>	–	7.46 × 10 <sup>-8</sup>	5.20 × 10 <sup>-8</sup>	1.10 × 10 <sup>-8</sup>	–	6.40 × 10 <sup>-8</sup>	2.02 × 10 <sup>-7</sup>
Grass (15 °C) <sup>(a)</sup>	2.00 × 10 <sup>-10</sup>	6.20 × 10 <sup>-9</sup>	8.00 × 10 <sup>-10</sup>	1.30 × 10 <sup>-9</sup>	–	1.80 × 10 <sup>-9</sup>	1.03 × 10 <sup>-8</sup>
Grass (25 °C) <sup>(a)</sup>	1.00 × 10 <sup>-9</sup>	8.70 × 10 <sup>-9</sup>	1.00 × 10 <sup>-8</sup>	5.90 × 10 <sup>-9</sup>	6.20 × 10 <sup>-9</sup>	1.49 × 10 <sup>-8</sup>	4.67 × 10 <sup>-8</sup>

<sup>(a)</sup> König et al. (1995).

<sup>(b)</sup> Lamb et al. (1993).

### Particulate matter

PM emissions should be calculated by multiplying the cultivated area of each crop by an EF and by the number of times the emitting practice is carried out.

$$E_{PM} = \sum_{i=1}^I \sum_{n=0}^{N_{i,k}} EF_{PM_{i,k}} \cdot A_i \cdot n \quad (5)$$

where  $E_{PM}$  is the emission of PM<sub>10</sub> or PM<sub>2.5</sub> from the  $i^{\text{th}}$  crop in kg a<sup>-1</sup>;  $I$  is the number of crops grown;  $A_i$  is the annual cropped area of the  $i^{\text{th}}$  crop in ha;  $N_{i,k}$  is the number of times the  $k^{\text{th}}$  operation is performed on the  $i^{\text{th}}$  crop, in a<sup>-1</sup>; and  $EF_{PM_{i,k}}$  is the EF for the  $k^{\text{th}}$  operation of the  $i^{\text{th}}$  crop, in kg ha<sup>-1</sup>.

The default values of the EF are shown in Tables 3.5 to 3.8. However, country-specific information is needed on the number of times that each operation is performed for each crop type during the course of a year. Care should also be taken to account for crop areas that provide more than one harvest per year.

It is important to note that the PM emissions calculated here are intended to reflect the amounts found immediately adjacent to the field operations. A substantial proportion of this emission will normally be deposited within a short distance of the location at which it is generated.

The following tables present Tier 2 PM<sub>10</sub> and PM<sub>2.5</sub> EFs for the different agricultural crop operations (Source: Van der Hoek and Hinz, 2007). EFs for dry climate conditions (Mediterranean climate) and wet climate conditions (all other climates) are presented in separate tables. The PM<sub>10</sub> and PM<sub>2.5</sub> EFs represent filterable PM emissions.

### 3.D Crop production and agricultural soils

**Table 3.5 Tier 2 EFs for agricultural crop operations, in kg ha<sup>-1</sup> PM<sub>10</sub>, wet climate conditions**

Crop					
	I	Soil cultivation 1	Harvesting 2	Cleaning 3	Drying 4
Wheat	1	0.25	2.7	0.19	0.56
Rye	2	0.25	2.0	0.16	0.37
Barley	3	0.25	2.3	0.16	0.43
Oat	4	0.25	3.4	0.25	0.66
Other arable	5	0.25	NC	NC	NC
Grass	6	0.25	0.25	0	0

Note: grass includes hay making only.

**Table 3.6 Tier 2 EFs for agricultural crop operations, in kg ha<sup>-1</sup> PM<sub>10</sub>, dry climate conditions**

Crop					
	I	Soil cultivation 1	Harvesting 2	Cleaning 3	Drying 4
Wheat	1	2.25	2.45	0.19	0
Rye	2	2.25	1.85	0.16	0
Barley	3	2.25	2.05	0.16	0
Oat	4	2.25	3.10	0.25	0
Other arable	5	2.25	NC	NC	NC
Grass	6	2.25	1.25	0	0

Note: grass includes hay making only.

**Table 3.7 Tier 2 EFs for agricultural crop operations, in kg ha<sup>-1</sup> PM<sub>2.5</sub>, wet climate conditions**

Crop					
	I	Soil cultivation 1	Harvesting 2	Cleaning 3	Drying 4
Wheat	1	0.015	0.02	0.009	0.168
Rye	2	0.015	0.015	0.008	0.111
Barley	3	0.015	0.016	0.008	0.129
Oat	4	0.015	0.025	0.0125	0.198
Other arable	5	0.015	NC	NC	NC
Grass	6	0.015	0.01	0	0

Note: grass includes hay making only.

**Table 3.8 Tier 2 EFs for agricultural crop operations, in kg ha<sup>-1</sup> PM<sub>2.5</sub>, dry climate conditions**

Crop					
	I	Soil cultivation 1	Harvesting 2	Cleaning 3	Drying 4
Wheat	1	0.12	0.098	0.0095	0
Rye	2	0.12	0.074	0.008	0
Barley	3	0.12	0.082	0.008	0
Oat	4	0.12	0.125	0.0125	0
Other arable	5	0.12	NC	NC	NC
Grass	6	0.12	0.05	0	0

Note: grass includes haymaking only.

#### 3.4.2 Activity data

Information is required on the annual national consumption of the N fertiliser types shown in Annex 1, Table A1.1. Annual fertiliser consumption data may be collected from official country statistics, often recorded as fertiliser sales and/or as domestic production and imports. Fertiliser use also needs to be disaggregated by fertiliser type. In addition, if AS or DAP are significant sources, then information will be needed on the amounts of those fertilisers applied. It should be noted that most data sources (including FAO) might limit reporting to agricultural N uses, although applications may also occur on forest land, settlements or other lands. This unaccounted N is likely to account for a

small proportion of the overall emissions. However, it is recommended that countries seek out this additional information whenever possible.

If spatially disaggregated inventories of fertilised culture emissions are required (see section 4.7 below), information on the spatial distribution of different crop types and average N fertiliser inputs to each crop type may be used. In the absence of data on the use of different fertilisers for crop types, the average N fertiliser inputs to crops may be combined with the average NH<sub>3</sub> EF for a country: estimated total NH<sub>3</sub> emission/total N fertiliser consumption.

The sources of activity data needed for calculating emissions from livestock manures are provided in Chapter 3B.

For 'Other organic wastes', guidance related to activity data is available for digestates from anaerobic digestion in Chapter 5B2 and for domestic compost in Chapter 5B1.

### 3.5 Tier 3 emission modelling and use of facility data

#### 3.5.1 Algorithm

Tier 3 methodologies are those that result in more accurate estimates of emissions than would be achieved using the Tier 2 methodology. This could include the use of alternative EFs, based on local measurement, the use of more detailed activity data and EFs, or the use of process-based models. Users are encouraged to use Tier 3 methodologies whenever possible. If measures are taken to reduce emissions, such as those mentioned in section 2.3 above, it may be necessary to use a Tier 3 methodology to gain acceptance of the effect on emissions. For example, immediate incorporation of mineral fertiliser would reduce direct emissions, so the EF for the relevant type of fertiliser would require modification. In contrast, reducing N fertiliser use by balancing fertiliser applications to crop requirements would not require a Tier 3 approach, since the effect would be adequately reflected by the change in the activity data.

For estimating NH<sub>3</sub> emissions using Tier 3 methodology, process-based models are useful because, in appropriate forms, they can relate the soil and environmental variables responsible for NH<sub>3</sub> emissions to the size of those emissions. These relationships may then be used to predict emissions from whole countries or regions for which experimental measurements are impracticable. Models should be used only after validation by representative experimental measurements.

An example of a simple process-based model for estimating NH<sub>3</sub> emissions from fertiliser applications to agricultural land is provided by Misselbrook et al. (2004). This has been incorporated into the United Kingdom's National Ammonia Reduction Strategy Evaluation System (NARSSES) model and used for the construction of the United Kingdom NH<sub>3</sub> emission inventory. Important influencing variables which are included in this model are the type of N fertiliser, soil pH, land use type, application rate, rainfall and temperature. Each fertiliser type is associated with a maximum potential emission (EF<sub>max</sub>), which is modified by functions relating to the other variables (soil pH, land use, etc.) to give an EF for a given scenario:

$$EF = EF_{\max} \times RF_{\text{soilpH}} \times RF_{\text{landuse}} \times RF_{\text{rate}} \times RF_{\text{rainfall}} \times RF_{\text{temperature}} \quad (6)$$

where RF is the reduction factor, expressed as a proportion, associated with the variable.

#### 3.5.2 Activity data

Data on the type of N fertiliser applied, soil pH, land use, application rate, rainfall and temperature will typically be required. Activity data for model input can be gathered from country-specific databases, trade associations (preferred) or, if these data are unavailable, can be found in different

international databases: the International Food Policy Research Institute (IFPRI) database; the International Soil Reference and Information Centre (ISRIC) in Wageningen, the Netherlands ([www.isric.org](http://www.isric.org)); Eurostat (<http://ec.europa.eu/eurostat/>); or the CAPRI database (<http://www.capri-model.org/>).

## 4 Data quality

### 4.1 Completeness

All nitrogenous fertilisers and all cropped land should be included. Emissions occurring after the application of manure, calculated in Chapter 3B, also need to be included, together with emissions that occur during grazing and after the application of sewage sludge.

### 4.2 Avoiding double counting with other sectors

Caution is required to account for the possible double counting of fertiliser/foliar emissions from grazed grassland. If only the distribution of total grassland is available, estimates would need to be made of the fraction that is grazed, while account of the temporal overlap of grazing and emissions from the application of N fertilisers and livestock manure should also be taken.

### 4.3 Verification

There are no direct methods to evaluate total inventory estimates of NH<sub>3</sub> emissions from croplands, and verification is dependent on laboratory and micrometeorological field studies of emissions from example situations. In particular, many studies have focused on laboratory measurements and there is a need to provide long-term field measurements using micrometeorological techniques to estimate NH<sub>3</sub> fluxes over a range of crop types in different climates.

Emissions of NO, NMVOC and PM cannot be verified except by field studies of emissions from example situations.

### 4.4 Developing a consistent time series and recalculation

General guidance on developing a consistent time series is given in Chapter 4 of the *EMEP/EEA air pollutant emission inventory guidebook*, 'Time series consistency' (EMEP/EEA, 2016).

Ideally, the same method is used throughout the entire time series. However, the detail and disaggregation of emissions estimates from this source category may improve over time. In cases for which some historic data are missing, it may be necessary to derive the data using other references or data sets. Inter-annual changes in EFs are not expected unless mitigation measures are undertaken. These factors should be changed with only proper justification and documentation. If updated defaults for any of these variables become available through future research, inventory agencies must recalculate their historical emissions. It is important that the methods used reflect the results of action taken to reduce emissions, and that the methods and results are thoroughly documented. If policy measures are implemented such that activity data are affected directly (e.g. increased efficiency of fertiliser use resulting in a decrease in fertiliser consumption), the effect of the policy measures on emissions will be transparent, assuming the activity data are carefully documented. In cases in which policy measures have an indirect effect on activity data or EFs (e.g. a change to the timing of fertiliser N application), inventory input data should reflect these effects. The inventory text should thoroughly explain the effect of the policies on the input data.

## 4.5 Uncertainty assessment

General guidance on quantifying uncertainties in emission estimates is given in Chapter 5 of the Guidebook, 'Uncertainties' (EMEP/EEA, 2016). In the following sections, the results of some previous studies of uncertainties in emission estimates from agricultural sources are discussed.

### 4.5.1 Ammonia

The main uncertainty lies in the generalisation of EFs, rather than the areas of crops under cultivation, which are probably accurate in most countries to  $\pm 10\%$ . The standard deviation in the  $\text{NH}_3$  measurements from mineral fertiliser are at the same level as the average measured emission in per cent. The accuracies of overall emissions estimates are probably no better than  $\pm 50\%$ .

### 4.5.2 Nitric oxide

The relative 95 % confidence interval for the NO emission estimates may be regarded as from -80 % to +406 %, as given by Stehfest and Bouwman (2006); thus, the overall uncertainty is considered to be a factor of five (see also Annex 3, A3.3.2).

### 4.5.3 Non-methane volatile organic compounds

For NMVOCs, the uncertainty in the emission potential of plant species accounts for about half of the overall uncertainty of a factor of four for, e.g. an annual emission inventory of Great Britain (Stewart et al., 2003). See also Annex 3.

### 4.5.4 Particulate matter

No uncertainty can be given for the first estimates of PM, but uncertainty will be probably in the range of one order of magnitude depending on the variations in EFs and activity data.

### 4.5.5 Activity data uncertainties

Application of fertiliser N may be estimated with an accuracy of  $\pm 10\%$ ; other factors, such as returns of N in manures, may be estimated to within  $\pm 25\%$ . With respect to national data on crop areas, an uncertainty of  $< 5\%$  is assumed, with a normal distribution.

## 4.6 Inventory quality assurance/quality control (QA/QC)

Guidance on the checks of the emission estimates that should be undertaken by the persons preparing the inventory are given in the Chapter 6 of the general guidance chapters, 'Inventory management, improvement and QA/QC' (EMEP/EEA, 2016).

## 4.7 Gridding

Emissions due to N fertiliser application may be spatially, as well as temporally, disaggregated using census data on the distribution of different crops and the application data statistics, together with mean fertiliser N inputs to those crops and climatic information, as outlined in Annex 1 (A1.1.2.)

NMVOC emissions from some major agricultural crops (Table 3.4) enable some spatial disaggregation of agricultural NMVOC emissions. Emissions of NMVOCs are also likely to differ according to crop growth stage, soil type, cultivation and weather conditions. Some temporal disaggregation may be possible if seasonal variations in emissions by non-agricultural plants can be assumed to be valid for fertilised crops.

The specific yield is one factor that may influence PM emissions during harvesting. More important are climatic conditions and soil composition in the particular cereal-growing regions. These are

important because there are large regional differences in plant production depending on the soil and climatic properties and the requirements of the end user.

#### 4.8 Reporting and documentation

General guidance on reporting and documentation is given in the general guidance chapter 'Inventory management, improvement and QA/QC' (Chapter 6) of the Guidebook.

The main supplementary documentation required for applying the estimates in this chapter are details of national N fertiliser consumption and the areas of major crops. The approximate timing of soil cultivation, including crop residue incorporation, will also be useful. If disaggregated estimates are to be made, details on N application rates to crops and spatially disaggregated crop distribution are needed.

The use of temperature and soil pH-dependent data presupposes knowledge and documentation of regional spring air temperatures and soil pH distribution.

## 5 Glossary

AN	Ammonium nitrate
AP	Ammonium phosphate
AS	Ammonium sulphate
CAN	Calcium ammonium nitrate
CEC	Cation exchange capacity
DAP	Di-ammonium phosphate
EF	Emission factor
FAO	Food and Agriculture Organization of the United Nations
IFA	International Fertilizer Industry Association
IFPRI	International Food Policy Research Institute
IPCC	Intergovernmental Panel on Climate Change
ISRIC	International Soil Reference and Information Centre
NMHC	Non-methane hydrocarbon
OVOC	Oxidised volatile organic compound
RAINS	Regional Air Pollution and Simulation
REML	Residual maximum likelihood
UAA	Utilised agricultural area

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## 7 Point of enquiry

Enquiries concerning this chapter should be directed to the relevant leader(s) of the Task Force on Emission Inventories and Projections' (TFEIP's) Expert Panel on Agriculture and Nature. Please refer to the TFEIP website ([tfeip-secretariat.org/](http://tfeip-secretariat.org/)) for the contact details of the current expert panel leaders.

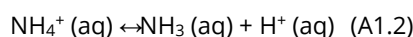
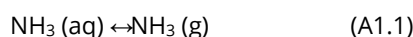
# Annex 1 Ammonia

Supplementary information is given in the annexes.

## A1.1 Description of sources

### A1.1.1 Process description

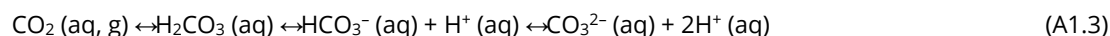
NH<sub>3</sub> volatilisation is a physico-chemical process which results from the equilibrium (described by Henry's law) between gaseous phase (g) NH<sub>3</sub> and NH<sub>3</sub> in solution (aq) (Equation A1.1). NH<sub>3</sub> in solution is in turn maintained by the equilibrium between NH<sub>4</sub><sup>+</sup> and NH<sub>3</sub> (Equation A1.2):



High pH (i.e. a low concentration of hydrogen ions (H<sup>+</sup>) in solution) favours the right-hand side of Equation A1.2, resulting in a greater concentration of NH<sub>3</sub> in solution and also, therefore, in the gaseous phase. Thus, if the soil is buffered at values of less than c. pH 7, the dominant form of ammoniacal-N (NH<sub>x</sub>) will be NH<sub>4</sub><sup>+</sup> and the potential for volatilisation will be small. In contrast, if the soil is buffered at higher pH values, the dominant form of NH<sub>x</sub> will be NH<sub>3</sub> and the potential for volatilisation will be large, although other chemical equilibria may serve to increase or decrease this.

Although NH<sub>3</sub> emissions tend to increase with soil pH, there is a strong interaction between the fertiliser and the soil solution which may (e.g. for urea) override the effects of initial soil pH through hydrolysis and precipitation reactions. Important in this regard is the effect of the soil cation exchange capacity (CEC): large soil CEC (more specifically, high NH<sub>4</sub><sup>+</sup> retention) tends to reduce NH<sub>3</sub> volatilisation potential by reducing the concentration of NH<sub>4</sub><sup>+</sup> in the soil solution by adsorption of NH<sub>4</sub><sup>+</sup> on the exchange sites.

The ambient soil pH results in the establishment of a bicarbonate-carbonate equilibrium with dissolved carbon dioxide (CO<sub>2</sub>):



In acidic soils, this equilibrium lies to the left, so that the concentration of free carbonate ions (CO<sub>3</sub><sup>2-</sup>) is negligible. However, in alkaline (calcareous) soils, the CaCO<sub>3</sub> solubility equilibrium also becomes important:



It is apparent that the addition of soluble Ca<sup>2+</sup> will move this equilibrium (A1.4) to the right, reducing the concentration of CO<sub>3</sub><sup>2-</sup> in solution, thus generating additional H<sup>+</sup> ions (i.e. reducing the pH) via the equilibrium shown in Equation A1.3. Furthermore, the addition of any other ion that forms sparingly soluble salts with Ca<sup>2+</sup> (e.g. sulphate) will act in the opposite manner by reducing the concentration of Ca<sup>2+</sup> ions and hence increasing the concentration of CO<sub>3</sub><sup>2-</sup> ions (Equation A1.4). This will move the equilibrium (Equation A1.3) to the right and reduce the concentration of H<sup>+</sup> ions and increase the pH.

Meteorological conditions and time of application in relation to crop canopy development (Holtan-Hartwig and Bøckmann, 1994; Générmont, 1996) also have an influence.

Emissions of NH<sub>3</sub> normally increase with increasing temperature and wind speed. However, there are many other factors that influence the emission under field conditions, and therefore the temperature dependence is often difficult to verify in field measurements.



### 3.D Crop production and agricultural soils

The Tier 1 EF is based on consumption data for 2014 for western, central and eastern Europe and Central Asia from the IFA ([www.fertilizers.org](http://www.fertilizers.org)), for which the sales data have been multiplied by the Tier 2 EFs. The sale of ammonia, which covers a large amount, is not included in the Tier 1 estimate as this amount is assumed to be used in other mineral fertiliser products. The sales data are given in Tables A1.1 and A1.2.

**Table A1.1 Sales data from the IFA ([www.fertilizer.org](http://www.fertilizer.org)) for 2014 in kt N; the figures shown are for apparent consumption**

Fertiliser	Western Europe	Central Europe	Eastern Europe and Central Asia	Total
Urea	4 560	1 549	2 173	8 281
AN	1 828	1 404	3 820	7 053
CAN	2 439	757	100	3 295
AS	635	158	254	1 047
AP	924	414	604	2 012
Total	10 386	4 282	6 951	58 842

**Table A1.2 Portions of fertiliser N in different mineral fertilisers (% of total N); the figures shown are based on sales data from the IFA ([www.fertilizer.org](http://www.fertilizer.org)) for 2014**

Fertiliser	West Europe	Central Europe	East Europe and Central Asia	Total
Urea	44	36	31	38
AN	18	33	55	33
CAN	23	18	1	15
AS	6	4	4	5
AP	9	10	9	9
Total	100	100	100	100

Results from Japan (Hayashi et al., 2006) suggest that the large losses usually reported from paddy fields may be a consequence of high temperatures and not directly applicable to production in more temperate regions. Furthermore, an application rate also affects the EF for urea: 21 % with a rate of 30 kg N ha<sup>-1</sup> at panicle formation and reduced to 0.5 % with a rate of 10 kg N ha<sup>-1</sup> at heading, in which the rice plants' effect on net exchange was included (Hayashi et al., 2008). It was estimated that rice plants accounted for c. 70 % of the NH<sub>3</sub> emissions from a rice paddy at the panicle formation stage after broadcast application of urea with a rate of 30 kg N ha<sup>-1</sup>. In consideration of the reduced emissions from application at panicle initiation and the practice of applying much of the fertiliser N at that stage, an EF of 22 % for urea was recently proposed by Yan et al. (2003). The same EF was used for AS.

#### **A1.1.2 Reported measurements and emission factors**

Direct emission after fertiliser N application is the most understood source of NH<sub>3</sub> emissions from crop production and agricultural soils. Emissions take place from the soil surface layer and decrease as the NH<sub>4</sub><sup>+</sup> ions are absorbed onto soil colloids or nitrified. Hence, fertiliser N that is immediately incorporated into the soil will not be a source of NH<sub>3</sub>.

The main factors controlling NH<sub>3</sub> volatilisation are the type of N fertiliser applied, the rate of hydrolysis for urea fertiliser and changes in soil pH after application for all fertilisers (Whitehead and Raistrick, 1993; ECETOC, 1994; Harrison and Webb, 2001; Sommer et al., 2004). When N is applied to soils in the form of urea, it is rapidly hydrolysed by the extracellular enzyme urease (which is ubiquitous in soils) to produce two moles of NH<sub>3</sub> and one of CO<sub>2</sub> from each mole of urea ((NH<sub>2</sub>)<sub>2</sub>CO together with H<sub>2</sub>O). Dissolution of NH<sub>3</sub> produces NH<sub>4</sub><sup>+</sup> and OH<sup>-</sup> ions, and the OH<sup>-</sup> ions increase the

liquid phase pH which, in turn, increases  $\text{NH}_3$  volatilisation (Equation A1.2). Although  $\text{NH}_3$  losses from AS and DAP have been found to increase markedly with increasing pH (e.g. Whitehead and Raistrick, 1990),  $\text{NH}_3$  loss from urea is less dependent on initial soil pH, because urea hydrolysis increases the pH immediately around the fertiliser granule to c. pH 9.2 (Fenn, 1988). Moreover, reaction with calcium ions reduces the volatilisation potential of the  $(\text{NH}_4)_2\text{CO}_3$  produced by urea hydrolysis (Fenn and Hossner, 1985). In contrast with other N fertilisers,  $\text{NH}_3$  loss from urea did not increase consistently with pH, and was not greater for calcareous soils (Whitehead and Raistrick, 1990). This was considered to be due to differences in CEC. Whitehead and Raistrick (1993) also found losses of  $\text{NH}_3$  from cattle urine were no greater on calcareous than on non-calcareous soils. The best correlation with  $\text{NH}_3$  loss was with CEC. Gezgin and Bayrakli (1995) measured  $\text{NH}_3$  losses from urea, AS and AN on calcareous soils in Turkey. Losses from AS (c. 16 %) and AN (c. 5 %) were greater than those measured on non-calcareous soils by Sommer and Jensen (1994), which were < 5 % and < 2 %, respectively. However, losses from urea at c. 8 % were less than those measured by Sommer and Jensen (1994). In field studies in the United Kingdom, Chadwick et al. (2005) also observed large variations which not could be attributed to a single parameter. Application to calcareous soils will, however, increase  $\text{NH}_3$  losses from AS (Fleisher et al., 1987). Other fertilisers, such as AN, are more neutral in pH and produce much smaller emissions. These are often difficult to distinguish in measurements from plant-atmosphere fluxes.

In several experiments, negative  $\text{NH}_3$  emissions after N fertiliser application have been found for fertilisers that have little emission potential. This is explained by high  $\text{NH}_3$  concentrations in the air which favour plant uptake and a net movement of  $\text{NH}_3$  from the air to plants and soils; this complicates the isolation of  $\text{NH}_3$  flux from fertiliser use. It is also possible that there were measurement errors for incoming and outgoing air in the tunnels during periods of low emissions.

Early reviews of data from field measurements of  $\text{NH}_3$  loss after application of N fertilisers to grassland and arable land concluded that  $\text{NH}_3$  losses from N fertilisers are greater by a factor of 2 on grassland (Van der Weerden and Jarvis, 1997; Harrison and Webb, 2001). However, subsequent measurements have failed to show such a large difference, and different EFs when N fertilisers are applied to arable or grassland are no longer considered appropriate (Bouwman et al., 2002b; Chadwick et al., 2005). Under controlled (laboratory) conditions, relationships between temperature and  $\text{NH}_3$  loss have been found. However, field experiments in which other factors also affect emissions have often failed to confirm an increase in  $\text{NH}_3$  emissions with increasing temperature. The meta-analysis carried out to provide more robust EFs for this chapter did confirm interactions between temperature and  $\text{NH}_3$  emissions after N fertiliser application. These interactions differed among N fertiliser types and were not always linear.

#### **Development of Tier 1 methodology for ammonia emissions from sewage sludge**

Sewage sludge is generated in sewage treatment works. The primary function of such works is to remove biologically degradable organic matter from wastewater, to prevent pollution of freshwater and coastal marine ecosystems. Based on Leip et al. (2011), the sources of the N in sewage are domestic sewage (human excreta, food waste, etc.) and industrial effluent, with the former accounting for about 93 % of treated sewage. The same source estimated that only about 1 % of the N entering the sewage treatment system was applied to land in sewage sludge. Rose et al. (2015) estimated that the mean excretion of N by human adults was 11 g capita<sup>-1</sup> day<sup>-1</sup> in urine and 1.8 g capita<sup>-1</sup> day<sup>-1</sup> in faeces, resulting in an annual excretion of 4.67 kg capita<sup>-1</sup> a<sup>-1</sup>. If the domestic contribution is assumed to be 93 % of the total, the total input of N to the wastewater treatment system is 5.0 kg capita<sup>-1</sup> a<sup>-1</sup>. The N applied to land in sewage sludge is, therefore, estimated to be 0.05 kg capita<sup>-1</sup> a<sup>-1</sup>.

The NH<sub>3</sub> emission from field-applied sewage sludge occurs from the ammonium fraction. This fraction accounts for < 10 % in solid sludges and about 5 to 50 % in liquid sludges. The proportion of this ammonium that is emitted as NH<sub>3</sub> will vary considerably, depending on the application technique and the weather at the time of application. As a first approximation, we will assume that one-third of the N in the sludge is in the ammoniacal form and that one-third of this is lost as NH<sub>3</sub>. After converting from NH<sub>3</sub>-N to NH<sub>3</sub>, this yields an EF of 0.13 kg NH<sub>3</sub> (kg N applied)<sup>-1</sup>.

Multiplying 0.0502 kg N applied capita<sup>-1</sup> a<sup>-1</sup> by 0.132 kg NH<sub>3</sub> (kg N applied)<sup>-1</sup> yields an EF of 0.0068 kg NH<sub>3</sub> capita<sup>-1</sup> a<sup>-1</sup>. The Tier 1 methodology is therefore:

NH<sub>3</sub> emission from sewage sludge applied to land = 0.0066 × human population

Note that by using total population rather than adult population, the NH<sub>3</sub> emission may be overestimated. However, given the other uncertainties in the development of the methodology and the limited extent to which this source will contribute to national NH<sub>3</sub> emissions, it is not worth attempting to refine the methodology further.

## Annex 2 Nitric oxide

### A2.1 Overview

Soils and crops are considered to be a net sink for most NO<sub>x</sub> (NO plus NO<sub>2</sub>) compounds. However, NO may be released from soils during nitrification and denitrification after N application and mineralisation of incorporated crop residues and soil organic matter. Estimates of NO emissions are very uncertain, but soils may contribute c. 4–8 % of total European emissions. On a hot summer day, this fraction may increase to values of > 27 % (Stohl et al., 1996; Butterbach-Bahl et al., 2001). On the global scale, recent estimates consider that NO<sub>x</sub> emissions from soils could represent more than 40 % of NO<sub>x</sub> emissions (Penner et al., 1993; Davidson and Kinglerlee, 1997) and up to 65 % for the USA (Hall et al., 1996).

### A2.2 Description of sources

#### A2.2.1 Process description

For plant production and agricultural soils, for which the pH is likely to be maintained above 5.0, nitrification is considered to be the dominant pathway of NO emission. NO is also a substrate and product of denitrification, but it is only very rarely emitted as a consequence of denitrification in European soils (see Ludwig et al. (2001) for further details).

#### A2.2.2 Emissions

Data on NO emissions in relation to fertiliser N use were reviewed by Yienger and Levy (1995) and were updated by Skiba et al. (1997). Yienger and Levy (1995) calculated an arithmetic mean emission of 2.5 % loss of fertiliser N. Based on almost the same data set, Skiba et al. (1997) showed that NO losses ranged from 0.003 to 11 % of applied fertiliser N with a geometric mean emission of 0.3 %. More recently, Bouwman et al. (2002a) used the residual maximum likelihood (REML) technique to calculate, from 99 studies of NO emissions, a global mean fertiliser-induced NO emission of 0.7 %. Before this, an EF of 1.0 % of applied N was suggested by Freibauer and Kaltschmitt (2000).

Maljanen et al. (2007) reported emissions of NO from grazed pastures that were c. 40 % of those of N<sub>2</sub>O; background emissions were c. 25 % of N<sub>2</sub>O. NO emissions increased with increasing soil temperature and with decreasing soil moisture. Emissions of NO are still poorly understood, but it is clear that there are differences in the mechanisms regulating N<sub>2</sub>O and NO production. There are not enough data available to discuss the effect of grazing on NO emissions, but the localised very high N and C inputs caused by animal excreta are likely to stimulate NO production.

#### A2.2.3 Controls

In temperate climates, NO emissions are considered to be predominantly a consequence of nitrification. Hence, substitution of AN for urea to reduce NH<sub>3</sub> emissions, may also give some reduction in NO emissions; the results from Slemr and Seiler (1984) are consistent with this hypothesis. Nevertheless, these conclusions can only be tentative as there are insufficient data to discriminate among fertiliser N sources (Skiba et al., 1997). Chu et al. (2007) reported that the use of controlled-release urea fertiliser could reduce emissions of NO.

### A2.3 Methods

The Tier 1 methodology for sewage sludge is calculated by multiplying the EF derived from Stehfest and Bouwman (2006) of  $0.04 \text{ kg NO}_2 (\text{kg N applied})^{-1}$  by the estimate of  $0.05 \text{ kg N applied capita}^{-1} \text{ a}^{-1}$ , derived in Equation A1.2. The result is  $0.002 \text{ kg NO}_2 \text{ capita}^{-1}$ .

There is currently no Tier 2 methodology for NO.

### A2.4 Data quality

### A2.5 Uncertainty assessment

Less information is available on the factors that determine losses of NO from soils (N input, soil temperature and soil moisture, soil texture, soil C). Long-term intensive field experiments are not currently sufficient to provide a good degree of certainty in the estimate. The data available suggest that the EF for NO is broadly similar to the EF for N<sub>2</sub>O (Bouwman et al., 2002a; Stehfest and Bouwman, 2006).

### A2.6 Gridding and temporal disaggregation

Losses of NO take place mainly as a consequence of nitrification and in acid soils as a consequence of chemo-denitrification. Peaks in NO emission are therefore likely after the application of NH<sub>4</sub><sup>+</sup>-based N fertilisers, incorporation of crop residues and tillage of soils. Data on all of these processes should be available, for some countries at least. At present, however, there are insufficient data on NO emissions to quantify these effects. Ultimately, as the mechanisms of NO production become better understood, climatic data may also be utilised to assess when soil and weather conditions are favourable for nitrification, and hence NO production (Butterbach-Bahl et al., 2004). In common with NH<sub>3</sub>, NO emissions may vary greatly in space and time from year to year, depending upon weather conditions and fertiliser input.

# Annex 3 Non-methane volatile organic compounds

## A3.1 Description of sources

### A3.1.1 Emissions

Hewitt and Street (1992) concluded that trees are the main emitters of non-methane hydrocarbons (NMHCs). Other plants, including crops, were insignificant sources in comparison. However, although NMVOC emissions from plant production and agricultural soils are smaller than from woodlands, they may not be entirely negligible (Simpson et al., 1999). König et al. (1995) noted that in earlier studies, NMHCs were regarded as the major component of VOC emissions. However, König et al. (1995) found oxygenated VOCs to be the major type of VOC emission from cereals. In that study, emissions were not invariably greater from trees than from agricultural crops.

The emission of some NMVOCs may be of benefit to plants, e.g. to attract pollinating insects, while others may be waste products or a means of losing surplus energy (Hewitt and Street, 1992). Ethene emissions have been observed to increase when plants are under stress.

As with forest NMVOC emissions, biogenic emissions from grasslands consist of a wide variety of species, including isoprene, monoterpenes ( $\alpha$ -pinene, limonene, etc.) and oxygenated volatile organic compounds (OVOCs). The OVOC species consist of a large number of oxygenated compounds (alcohols, aldehydes, etc.) and have proven difficult to quantify in atmospheric samples. Progress in the quantification of OVOCs from European vegetation has been made (König et al., 1995), although many more measurement data will be required before reliable attempts to specifically inventory OVOCs can be made.

Factors that can influence the emission of NMVOCs include temperature and light intensity, plant growth stage, water stress, air pollution and senescence (Hewitt and Street, 1992).

### **Methodologies and emission factors**

The EFs include partial EFs for isoprene, terpenes, alcohols, aldehydes, ketones, ethers and other organic compounds, and their contribution to overall emissions.

The use of the following equation and data is recommended:

$$E_{\text{NMVOC}_{\text{crop}}} = \sum A_i \times m_{\text{D}_i} \times t_i \times \text{EF}_i \quad (\text{A3.1})$$

where  $E_{\text{NMVOC}_{\text{crop}}}$  is the NMVOC emission flux from cropped areas ( $\text{kg a}^{-1}$  NMVOC);  $A_i$  is the area covered by crop  $i$  ( $\text{ha a}^{-1}$ );  $m_{\text{D}_i}$  is the mean dry matter of crop  $i$  ( $\text{kg ha}^{-1} \text{a}^{-1}$ );  $t_i$  is the fraction of year during which crop  $i$  is emitting (in  $\text{a}^{-1}$ ); and  $\text{EF}_i$  is the EF for crop  $i$  ( $\text{kg kg}^{-1}$  NMVOC).

NMVOC measurements made by König et al. (1995) are used to provide information on the order of magnitude of NMVOC emissions from growing crops. Other comparable NMVOC emission studies are Lamb et al. (1993) and Winer et al. (1992).

Comparisons of the reference EFs show that the EF for wheat estimated by König et al. (1995) is significantly smaller than that estimated by Lamb et al. (1993) and Winer et al. (1992). The opposite is the case for rye: the EF estimated by König et al. (1995) is considerably greater than that estimated by Lamb et al. (1993) and Winer et al. (1992). König et al. stated that the reason for the large difference in the emission rates between rye and wheat observed in the study is unclear. However, different stages of development might explain the differences in the observed emission rates. Rye

was sampled at near blossoming stage, during which the emissions are greater and this could explain why the EF was greater than the EF suggested by the results of Lamb et al. (1993). It might be that the emissions of alcohols in the non-blossoming rye were already a result of the development of the blossoming stage. The samples for wheat were taken 3 days after blossoming and the blossoms had been washed off by heavy rain during the days prior to sampling. It might be possible that the emission of alcohols is reduced after rainfall because of the leaching of water-soluble compounds during rainfall.

#### **Alternative derivation of Tier 1 emission factors**

A Tier 1 NMVOC EF can be determined if the data in Table 3.4 (Section 3.4) are combined with some additional data (average crop yield, dry matter content and crop areas).

Yield and dry matter content vary significantly from country to country because of differences in climatic conditions and the use of agricultural technology. If country-specific yield and dry matter content are not available, the following can be used.

- Average crop yields can be estimated from FAO agricultural statistics, which include data on the main crop-producing countries in the European Monitoring and Evaluation Programme (EMEP) area (FAO, 2012). Yield values are based on the 2006–2010 average. Dry matter content is assumed to be 0.85 kg per kg harvested for wheat and rye, 0.90 kg per kg for rape and 0.30 kg per kg for grass. The yield for grassland is based on Danish agricultural conditions because no yield data for grass are given in FAO Statistics.

Crops emit NMVOCs during the growing season only. For the purposes of this methodology, the growing season accounts for 0.3 of the year for wheat, rye and rape, while the fraction of the year for grassland is taken as 0.5 (Rösemann et al., 2011).

Crop area data are required for different crop types, as outlined below.

- To determine the Tier 1 EF, it was necessary to aggregate the data for the different crop types. To do this, it was necessary to assume the distribution between crops and grassland. This distribution varies considerably among countries — for example, grain accounts for 55 % of the total agricultural area in Denmark, 30 % in France and 20 % in the Russian Federation. The distribution of the fraction of wheat, rye, rape and pasture land is based on estimates from data in the FAO agricultural database. An area distribution of 50 % cereals and 50 % of pasture land has been assumed.
- Two different NMVOC emission estimates are given for wheat (Table 3.4). The emission reported by König et al (1995) is much less than that suggested by Lamb et al. (1993), and thus an average emission is used. The same is true for rye, for which an average of the two emissions estimates is used. The emission from grass is based on König et al. (1995).

Based on the abovementioned assumptions, the Tier 1 NMVOC EF was determined to be 0.86 kg NMVOC per hectare per year.

## **A3.2 Data quality**

### **A3.2.1 Uncertainty**

The small number of measurements of NMVOC emissions from agricultural vegetation is a considerable weakness, and, in addition, the reference material is very dated. However, the literature does not appear to contain relevant studies that are more recent than those used here. It is unknown whether or not emissions are related to fertiliser N inputs.

### A3.3 Uncertainty assessment

#### *A3.3.1 Emission factor uncertainties*

Biogenic VOC emissions for the United Kingdom were summarised by Hewitt and Street (1992). These ranged from 38–211 Gg a<sup>-1</sup> total NMVOCs. Emissions from woodlands were estimated to be 72 % of total biogenic emissions by Anastasi et al. (1991). Thus, between c. 10 and 59 Gg a<sup>-1</sup> appear to be of agricultural origin. In their incomplete analysis, Hobbs et al. (2004) calculated c. 5 t a<sup>-1</sup> from agricultural crops. This compares with the Corinair 94 estimate of only 2 Gg a<sup>-1</sup> for SNAP code 1001, Cultures with fertilisers, NFR 3.D.1, or < 2 % of emissions from agriculture and forestry. Thus, the range of emissions may be uncertain by a factor of 30. However, the estimate for agriculture by Anastasi et al. (1991) was recognised as likely to be too large.

#### *A3.3.2 Activity data uncertainties*

Hewitt and Street (1992) concluded that only c. 700 plant species, mainly from North America, had been investigated as isoprene or monoterpene emitters. Few of these were agricultural crops, and quantitative data were available for only a few species. Many measurements had been made at temperatures higher than those prevailing in northern and western Europe.

With respect to national data on crop areas, an uncertainty of < 5 % is assumed, with a normal distribution.



## Annex 4 Particulate matter

### A4.1 Methods

#### A4.1.1 Tier 1 default approach

The EFs for PM<sub>10</sub> and PM<sub>2.5</sub> can be determined in a number of different ways, as outlined below.

- Direct measurements can be made with pre-separators. These pre-separators split the sample air flow into different components based on the aerodynamic properties of the particulate material. These measurements can be used directly for comparison or balancing.
- The PM size distribution of the total dust emissions can be measured. If total dust emissions are known, then EFs for the different PM fractions can be determined.
- It is also possible, based on measurements, to calculate the different PM fractions as a proportion of the TSP. To get results comparable with other approaches, the definition and measuring procedure for TSP must be known.

Takai et al. (1998) introduced a sampler for the 'inhalable part' of TSP. These samplers have a cut diameter (50 % separation) at 100 µm.

A literature review reported different ways to create EFs for arable farming.

- Direct measurements of the primary PM emissions from the use of cultivation implements can be used. From these, machinery-related estimates of the potential strength of a source and field-related EF may be calculated.
- Indirect estimations of source strength using concentration measurements carried out using machinery placed in the driver's cab and a layer- or plume-based model of the treated area to establish a relationship with the balance volume or volume flow rate concerned can be made.
- Measurements of PM concentrations at the border of a field fitted to an inverse computing model of dispersion can be used.

The PM<sub>10</sub> EFs given below were reported.

Combine harvesting:

- 4.1–6.9 kg ha<sup>-1</sup>, parameter cereal, cereals humidity during harvesting (Batel, 1976)
- 3.3–5.8 kg ha<sup>-1</sup> (WRAP, 2006).

Because of the settling effect of coarse particles, it was assumed that only part of the primary emitted PM<sub>10</sub> leaves the field to give the field EF. Two situations have been considered: one with 50 % of the original PM<sub>10</sub> emissions leaving the field and one with 10 % leaving the field.

Soil cultivation:

- 0.1 kg ha<sup>-1</sup>, the Regional Air Pollution Information And Simulation (RAINS)
- 0.06–0.3 kg ha<sup>-1</sup> (Wathes et al., 2002)
- 0.28–0.48 kg ha<sup>-1</sup> (Hinz, 2002).

Assumptions based on both models are not consistent with measured values and lead to overestimates of EFs. Corrections gave an averaged field EF of 0.25 kg ha ha<sup>-1</sup> as given in the matrix:

- 4.2 kg ha<sup>-1</sup>, US National Emission Inventory (NEI) method;

- 5.2 kg ha<sup>-1</sup>, US California Air Resources Board (CARB) method.

Measurements from California are much larger. This is because of the climatic and soil conditions, that is, higher temperatures and lower humidity. This is supported by measurements carried out in Brandenburg, Germany, under 2006 conditions — which were hot and dry — and emission values one order of magnitude greater than former years were derived.

**Table A4.1 EFs for PM<sub>10</sub>, PM<sub>2.5</sub> and PM<sub>1</sub> for field operations**

	PM <sub>10</sub> , kg ha <sup>-1</sup>	PM <sub>2.5</sub> , kg ha <sup>-1</sup>	PM <sub>1</sub> , kg ha <sup>-1</sup>
Harrowing	0.82	0.29	< 1
Discing	1.37	0.12	0.03
Cultivating	1.86	0.06	0.02
Ploughing	1.20	0.05	0.01

Source: EFs for soil operations (van der Hoek and Hinz, 2007).

Source strength is computed using the inverse Lagrangian dispersion model aided by concentration measurements made using a particle counter. This is a first approach to calculation with some uncertainties in the model but also in measurements.

#### **A4.1.2 Default emission factors**

**Table A4.2 PM EFs (EFPM) for agricultural crop operations, in kg ha<sup>-1</sup> PM (van der Hoek and Hinz, 2007)**

Crop	Soil cultivation	Harvesting	Cleaning	Drying
Wheat	0.25	2.7	0.19	0.56
Rye	0.25	2.0	0.16	0.37
Barley	0.25	2.3	0.16	0.43
Oats	0.25	3.4	0.25	0.66

The measured values are of emissions from the immediate surroundings of the tractors and harvesting machinery in the field.

Further information about PM emissions can be found in Hinz and Funk (2007) and Hinz and Tamoschat-Depolt (2007).

## **A4.2 Data quality**

### **A4.2.1 Completeness**

The small number of measurements of PM emissions from agricultural activities is a considerable weakness.

## Annex 5 Summary of updates

**Table A5.1 Summary of updates to calculation methodologies and EFs made during the 2016 revision of this chapter**

Emission	Tier 1		Tier 2	
	Methodology	EFs	Methodology	EFs
NH <sub>3</sub>	Updated	Updated	Updated	Updated
NO	Updated	Not updated	Updated	Updated
NMVOG	Not updated	Not updated	NC	NC
PM	Not updated	Not updated	Not updated	Not updated

NC, not calculable.

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