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1 Overview

The scope of the emissions to be included comprises the civil aviation portion of combustion emissions from mobile sources that concerns the movement of people and/or freight by air. The activities comprise:

- international airport traffic (LTO-cycles < 3 000 ft (914 m) ⁽¹⁾);
- international cruise traffic (> 3 000 ft (914 m));
- domestic airport traffic (LTO-cycles < 3 000 ft (914 m));
- domestic cruise traffic (> 3 000 ft (914 m)).

The scope of the emissions to be included comprises civil commercial use of airplanes, including scheduled and charter traffic for passengers and freight, air taxiing and general aviation. The international/domestic split should be determined on the basis of departure and landing locations for each flight stage and not by the nationality of the airline. Fuel used at airports for ground transport should be excluded from these NFR codes, and are reported under 1.A.5.b, Other Mobile. Fuel for stationary combustion at airports should also be excluded and reported under the appropriate stationary combustion category.

The importance of this sector ranges from negligible to quite significant for some pollutants' contribution to the inventories for many countries. Importantly, emissions from this sector are often increasing at a higher rate than for many other sources. The major pollutants generated from these activities are CO₂ and NO_x, but with important contributions of CO, hydrocarbons and SO₂.

Reporting

Inventory compilers should note that differences exist for the reporting of domestic LTO and cruise (SNAP codes 080501 and 080503, respectively) and international LTO and cruise (SNAP codes 080502 and 080504, respectively) between a) the Long-Range Transboundary Air Pollution (LRTAP) Convention and National Emissions Ceiling (NEC) Directive, and b) EU-MM and United Nations Framework Convention on Climate Change (UNFCCC). Specifically, these instruments contain different definitions concerning whether the domestic and international LTO/cruise elements should be included within the reported national totals, or should be reported as additional 'memo items'. The UNECE Reporting Guidelines (UNECE, 2009) provide the definitions for reporting of emissions to the LRTAP Convention. Any questions concerning reporting of emissions to the Convention should be addressed to the European Monitoring and Evaluation Programme (EMEP) Centre on Emission Inventories and Projections (CEIP).

(1) LTO is an abbreviation for the Landing and Take-Off cycle. International Civil Aviation Organisation (ICAO) defines the LTO cycle as those activities occurring below a height of 3 000 feet (914 m)

2 Description of sources

2.1 Process description

Exhaust emissions from aviation arise from the combustion of jet fuel (jet kerosene and jet gasoline) and aviation gasoline. They arise during the two activities illustrated in Figure 2-1.

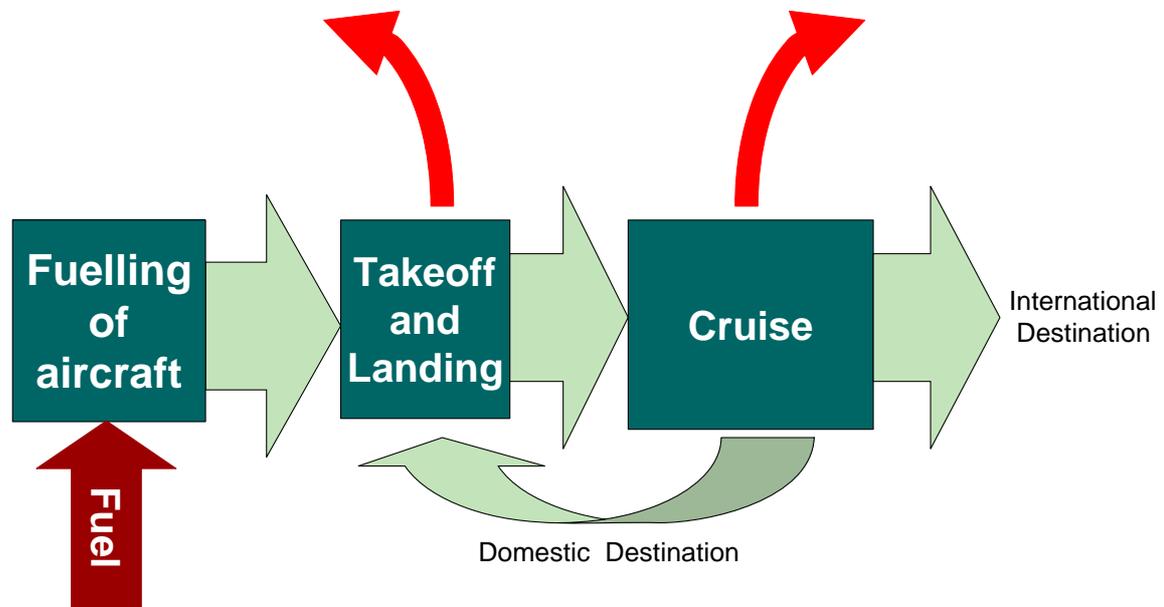


Figure 2-1 Flow diagram for the contribution from aviation to mobile sources combustion emissions

- The landing and take-off cycle includes all activities near the airport that take place below a height of 3 000 ft (914 m). This therefore includes taxi-in and -out, take-off, climb-out and approach-landing.
- Cruise is defined as all activities that take place above 3 000 ft (914 m). No upper limit of height is given. Cruise in this handbook includes climb from the end of climb-out in the LTO cycle to the cruise altitude, cruise and the descent from cruise altitude to the start of LTO operations of landing. Figure 2-2 below illustrates the standard flying cycles.

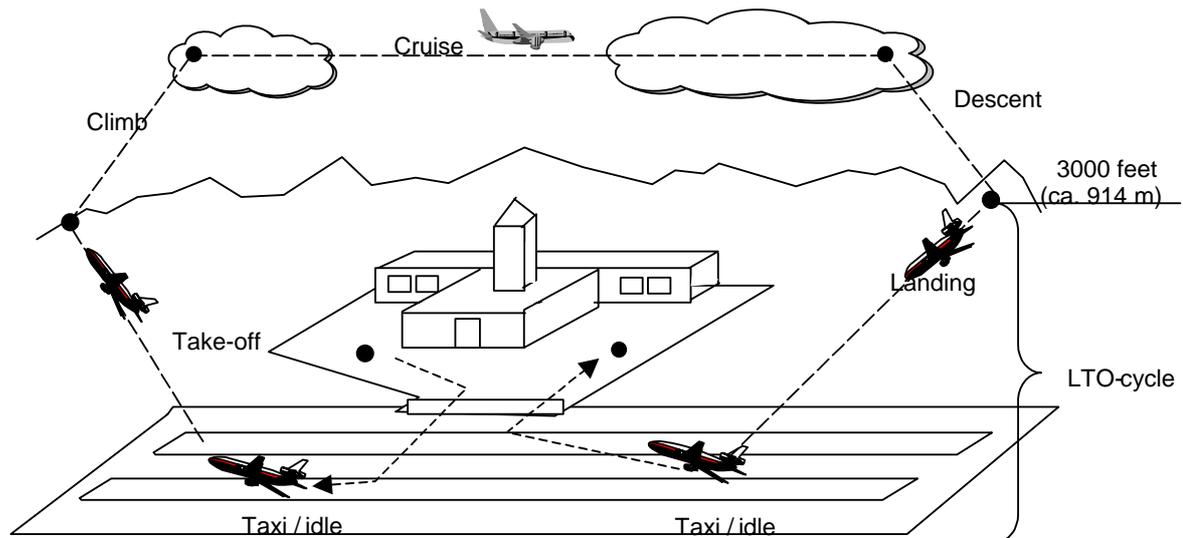


Figure 2-2 Standard flying cycles

In principle, the activities include all flights in a country. Civil air traffic (which includes general aviation) is often divided into three categories with operational military flights making a fourth category:

- category 1 — Civil instrument flight rule (IFR) flights;
- category 2 — Civil visual flight rule (VFR) flights, also called general aviation;
- category 3 — Civil helicopters;
- category 4 — Operational military flights.

Flight data are often recorded for category 1 only, and most emissions will originate here. Category 2 contains small aircraft used for leisure, agriculture, taxi flights, etc.

Data are mostly available for turbofans, but estimates also have to be made from turboprop and piston engine aircraft (which are currently not subject to any emissions regulation).

Aircraft in category 1 can be classified into types and engines as outlined in Table 2-1. This table presents aircraft and engines most frequently used in European and American aviation, although other engines may be used in significant numbers. Also note that some large long-distance planes not on this list may be important for fuel consumption (e.g. DC10, A340). In addition, emissions from turboprop aircraft may be significant in national aviation in some countries. More types and engines exist and jet engines can be seen in International Civil Aviation Organisation (ICAO) (1995) or in the ICAO online databank hosted by the UK Aviation Authority (www.caa.co.uk/default.aspx?catid=702&pagetype=90).

Military aircraft activities (category 4) are in principle included in the inventory. There may, however, be some difficulties in estimating these due to scarce and often confidential military data. One should also be aware that some movements of military aircraft might be included in category 1, for example non-operational activities.

Other emissions arise from the following activities:

- start up of engines,
- auxiliary power operation,
- fuel dumping in emergencies,
- fuelling and fuel handling,
- maintenance of aircraft engines,
- painting of aircraft,
- service vehicles for catering and other services,
- anti-icing and de-icing of aircraft with much of the substances used flowing off the wings during idle, taxi, and take-off and evaporates.

Emissions from start up of engines

There is currently little information available to estimate emissions from start up of engines and these are not included in the LTO cycle. This is not of great importance for total national emissions, but they may have an impact on the air quality in the vicinity of airports.

Auxiliary power operations

Auxiliary Power Units (APUs) are used where no other power source is available for the aircraft and may vary from airport to airport. This is the case, for example, when the aircraft is parked away from the terminal building. The APU fuel use and the related emissions should be allocated on the basis of aircraft operations (number of landings and take-offs). However, no methodology has currently been developed. The use of APUs is being severely restricted at some airports to maintain air quality, and therefore this source of fuel use and emissions may be declining. In total terms, the fuel consumption and emission contribution from this source is regarded as very small (Winther et al., 2006).

Fuel dumping in emergencies

From time to time aircraft will have to dump fuel before landing so that they do not exceed a certain maximum landing weight. This is done at a location and altitude where there will be no local impact at ground level. Only large long-range aircraft will dump fuel. Non-methane volatile organic compounds (NMVOC) emissions might become significant at very large airports with frequent long distance flights. However, since the most probable altitude of these emissions will be above 1 000 m, these are currently not relevant for United Nations Economic Commission for Europe (UNECE) reporting. The airport authorities and airline companies might give information on the extent (frequency and amount) of dumping and the altitude at particular airports.

2.2 Techniques

In general there are two types of engines: reciprocating piston engines and gas turbines. Reciprocating piston engines extract the energy from fuel burned in a combustion chamber using a piston and crank mechanism. This drives the propellers to give the aircraft momentum. The gas turbine engines compress air before burning fuel in a combustion chamber, thereby heating it. The major part of the energy is used for propelling the aircraft, whilst a minor portion is used to drive the turbine, which drives the compressor. Turbojet engines use only energy from the expanding

exhaust stream for propulsion, whereas turbofan and turboprop engines use energy from the turbine to drive a fan or propeller for propulsion.

Table 2-1 Movements in Europe per aircraft type*, 1998

	Movements per aircraft type %	% local (non-trans Atlantic) movements for this type	No of engines	Type of engine	Most used engine
Boeing B 737, unspecified	14.8	99.6	2	TF	PW JT8D-17, CFMI CFM56-3
Airbus A 320	8.6	99.6	2	TF	CFMI CFM56-5A
McDonnell Douglas MD 80	8.1	100	2	TF	PW JT8D-217
ATR	5.2	100	2	TP	PWC PW120, PW124
BAe 146	4.6	100	4	TF	LY ALF 502R-5
Boeing B 757	3.4	95.3	2	TF	PW 2037
Boeing 737-100	3.3	99.7	2	TF	PW JT8D-17, CFMI CFM56-3
Fokker F-50	3.1	100	2	TP	PW125B
De Havilland DASH-8	2.8	100	2	TP	PW 121/123
Boeing B 767	2.7	46.8	2	TF	GE CF6-80A2, GECF6-80C2B6
Canadair Regional Jet	2.1	100	2	TF	LY ALF 502L-2C
McDonnell Douglas DC 9	1.8	99.8	2	TF	JT8D-15
Boeing B 727	1.7	99.6	3	TF	JT8D-7B
Fokker 100	1.6	100	2	TF	RR TAY 620-15
Boeing B 747 100-300	1.5	43.4	4	TF	PWJT9D-7A, PW4056
SAAB 2000	1.4	100	2	TP	AN GMA2100A
SAAB 340	1.4	100	2	TP	GE CT7-5A2
Airbus A 310	1.3	88.5	2	TF	GE CF6-80C2A5, PW JT9-7R4E1
Airbus A 300	1.0	93.7	2	TF	GE CF6-80C2A5, PW JT9-7R4E1

Data source: Eurocontrol - STATFOR, the Norwegian Civil Aviation Administration (personal comm.)

Notes:

TJ - turbojet, TF - turbofan, TP - turboprop, R - reciprocating piston, O - opposed piston.

*The number of movements does not necessarily reflect the relative importance with respect to fuel use and emissions, which in addition are mostly determined by aircraft size and flight distances.

Military aircraft activities (category 4) are in principle included in the inventory. There may, however, be some difficulties in estimating these due to scarce and often confidential military data. One should also be aware that some movements of military aircraft might be included in category 1, for example non-operational activities.

2.3 Emissions

The emissions produced by aviation come from the use of jet fuel (jet kerosene and jet gasoline) and aviation gasoline (used to fuel small piston engine aircraft only) that are used as fuel for the aircraft. Consequently, the principal pollutants are those common to other combustion activities, i.e. CO₂, CO, hydrocarbons and oxides of nitrogen, with SO₂ emissions being dependent of the level of sulphur in the fuel. Other important species, emitted at relatively low concentrations include PM, N₂O and CH₄.

2.4 Controls

ICAO's current environmental activities are largely undertaken through the Committee on Aviation Environmental Protection (CAEP), which was established by the Council in 1983. The species regulated are:

- oxides of nitrogen (most recently updated in 2005);
- carbon monoxide (most recently updated in 1997);
- unburned hydrocarbon (most recently updated in 1984); and
- engine smoke.

Compliance with the standards on the oxides of nitrogen is the most challenging task for the makers of aircraft engines.

The regulations published by ICAO, against which engines are certificated, are given in the form of the total quantity of pollutants (Dp) emitted in an LTO-cycle divided by the maximum sea level thrust (F_{oo}) and plotted against engine pressure ratio at maximum sea level thrust. Table 2-2 shows engine power settings and times-in-mode for the LTO-cycle specified by ICAO (ICAO, 1993).

Table 2-2 Standard landing and take-off cycles in terms of thrust settings and time spent in the specific mode

Operating mode	Thrust setting (% of maximum sea level static thrust)	Time-In-Mode (min)
Take-off	100 %	0.7
Climb-out	85 %	2.2
Approach-landing	30 %	4.0
Taxi/ground idle	7 %	26.0

Source: ICAO, 1993

The limit values for NO_x are given by the formulae in Table 2-3.

Table 2-3 Current certification limits for NO_x for turbo jet and turbo fan engines

	CURRENT REGULATIONS			Applicable from November 2005
	engines first produced before 31.12.1995 and for engines manufactured up to 31.12.1999	engines first produced after 31.12.1995 and for engines manufactured after 31.12.1999	engines of a type or model of which the date of manufacture of the first individual production model was after 31 December 2003	engines of a type or model of which the date of manufacture of the first individual production model was after 31 December 2007
Applies to engines > 26.7 kN	$D_p/F_{oo} = 40 + 2\pi_{oo}$	$D_p/F_{oo} = 32 + 1.6\pi_{oo}$		
Engines of pressure ratio less than 30				
Thrust more than 89 kN			$D_p/F_{oo} = 19 + 1.6\pi_{oo}$	$D_p/F_{oo} = 16.72 + 1.4080\pi_{oo}$
Thrust between 26.7 kN and not more than 89 kN			$D_p/F_{oo} = 37.572 + 1.6\pi_{oo} - 0.208F_{oo}$	$D_p/F_{oo} = 38.54862 + (1.6823\pi_{oo}) - (0.2453F_{oo}) - (0.00308\pi_{oo}F_{oo})$
Engines of pressure ratio more than 30 and less than 62.5				
Thrust more than 89 kN			$D_p/F_{oo} = 7 + 2.0\pi_{oo}$	$D_p/F_{oo} = -1.04 + (2.0 * \pi_{oo})$
Thrust between 26.7 kN and not more than 89 kN			$D_p/F_{oo} = 42.71 + 1.4286\pi_{oo} - 0.4013F_{oo} + 0.00642\pi_{oo}F_{oo}$	$D_p/F_{oo} = 46.1600 + (1.4286\pi_{oo}) - (0.5303F_{oo}) - (0.00642\pi_{oo}F_{oo})$
Engines with pressure ratio 82.6 kN or more			$D_p/F_{oo} = 32 + 1.6\pi_{oo}$	$D_p/F_{oo} = 32 + 1.6\pi_{oo}$
<i>Source: International Standards and Recommended Practices, Environmental Protection, ICAO, Annex 16, Volume II, Part III, Paragraph 2.3.2, 2nd edition July 1993, plus amendments: Amendment 3 (20 March 1997), Amendment 4 (4 November 1999), Amendment 5 (24 November 2005).</i>				
where:				
D _p = the sum of emissions in the LTO cycle in g				
F _{oo} = thrust at sea level take-off (100 %)				
π _{oo} = pressure ratio at sea level take-off thrust point (100 %)				
Further information on Legislation can be obtained from the ICAO website www.icao.int/icao/en/env/aee.htm				

The equivalent limits for HC and CO are $D_p/F_{oo} = 19.6$ for HC and $D_p/F_{oo} = 118$ for CO (ICAO, Annex 16, Vol. II, paragraph 2.2.2).

Smoke is limited to a regulatory smoke number = $83.6 * (F_{oo})^{(-0.274)}$ or a value of 50, whichever is the lower.

The relevance of the data within this report is to indicate that whilst the certification limits for NO_x are getting lower, those for smoke, CO and HC remain unchanged.

Contribution of air traffic to total emissions:

The total contribution of aircraft emissions to total global anthropogenic CO₂ emissions is considered to be about 2 % (IPCC, 1999). This relatively small contribution to global emissions should be seen in relation to the fact that most aircraft emissions are injected almost directly into the upper free troposphere and lower stratosphere. The Intergovernmental Panel on Climate Change (IPCC) has estimated that the contribution to radiative forcing is about 3.5 %. The importance of this source is growing as the volume of air traffic is steadily increasing.

The importance of air traffic in Europe for various air pollutants is illustrated in Table 2-4.

Table 2-4 Range of contributions to reported air pollutant emissions from air traffic in 2007, illustrated for the EU-27 group of countries. % of reported national total to the LRTAP Convention.

Category	Domestic and international LTO (%)	Domestic cruise (%)	International cruise (%)
SO ₂	0-0.6	0-0.4	0-3.4
NO _x	0-5.6	0-1.5	0-9.8
NMVOG	0-3.8	0-1.1	0-1.0
CO	0-6.1	0-0.6	0-2.0
PM ₁₀	0-0.7	0-0.2	0-2.0
PM _{2.5}	0-0.9	0-1.8	0-3.9

Source: EEA Dataservice. European Community LRTAP Convention emission inventory dataset 1990-2007.

3 Methods

3.1 Choice of method

3.1.1 Overview

In Figure 3-1 a procedure is presented to select the methods for estimating the emissions from aviation. This decision tree is applicable to all nations. When estimating aviation emissions the following should be considered:

- use as detailed information as is available;
- if the source category is a key source, then a Tier 2 or Tier 3 method must be used for estimating the emissions.

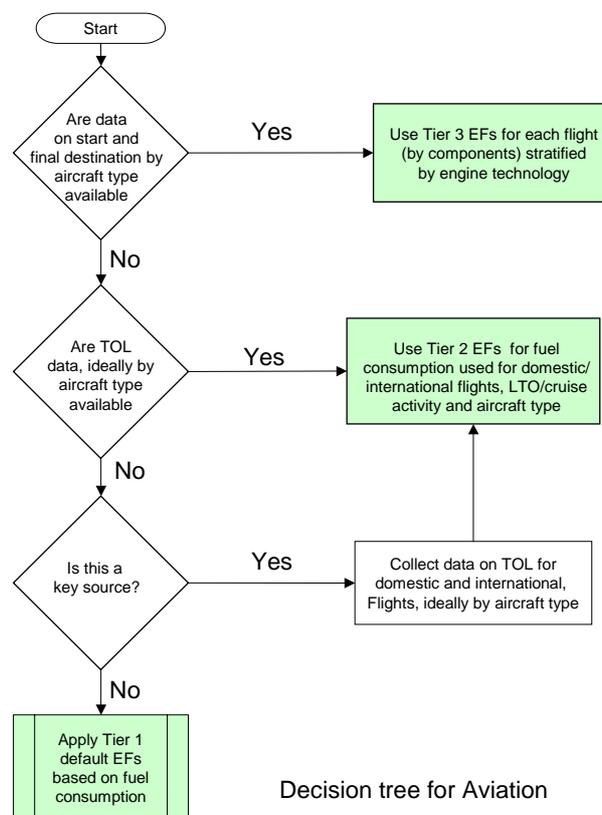


Figure 3-1 Decision tree for emissions from aviation

The three Tiers are harmonised with those specified in the IPCC 2006 Guidelines.

Table 3-1 summarises the data required to use the three Tiers in terms of activity measure and the degree of technology stratification required for the category 1 (IFR) flights. It will often be the case that the overall emissions for category 2 and 3 flights are sufficiently small and the statistics available so poor, that a Tier 1 approach for these portions of aviation is appropriate.

Table 3-1 Summary of input data required for the three Tiers of inventory methodology

	Activity	Technology stratification
Tier 1	Fuel sales sub-divided into domestic and international usage. Total LTO numbers for domestic and international.	Use average fleet mix (i.e. generic aircraft EFs) and average factors for LTO and cruise.
Tier 2	Fuel sales sub-divided into domestic and international use, as for Tier 1. LTO numbers for domestic and international, per aircraft type.	Use of aircraft specific LTO EFs and average EFs for cruise.
Tier 3	Data for each flight containing aircraft type and flight distance, sub-divided into domestic and international.	Use specific aircraft type data from the accompanying spreadsheet to this chapter, available from http://eea.europa.eu/emep-eea-guidebook

The Tier 1 and Tier 2 methodologies are both based on LTO data and the quantity of fuel sold or used as illustrated in Figure 3-2. It is assumed that fuel used equals fuel sold. From the total fuel sold for aircraft activities, allocations are made according to the requirements for IPCC and UNECE reporting. The emission estimation can be made following either the Tier 1 or Tier 2 methodology outlined below.

For estimating the total emissions of CO₂, SO₂ and heavy metals the Tier 1 methodology is sufficient, as the emissions of these pollutants are dependent of the fuel only and not technology. The emissions of PM₁₀ or PM_{2.5} are aircraft and payload dependent. Therefore, when estimating the total emissions of these pollutants, it may be appropriate to consider the aircraft activity in more detail, using the Tier 2 methodology. The Tier 3 methodology may be used to get an independent estimate of fuel and CO₂ emissions from domestic air traffic.

Domestic and international split

To disaggregate the activity data between domestic and international, the following definitions should be applied irrespective of the nationality of the carrier (Table 3–2). For consistency, it is good practice to use similar definitions of domestic and international activities for aviation. In some cases, the national energy statistics may not provide data consistent with this definition. It is good practice that countries separate the activity data consistent with this definition. In any case, a country must clearly define the methodologies and assumptions used.

Table 3-2 Criteria for defining international or domestic aviation (applies to individual legs of journeys with more than one take-off and landing).

Journey type between two airports	Domestic	International
Departs and arrives in same country	Yes	No
Departs from one country and arrives in another	No	Yes

Note

Based on past experience compiling aviation emissions inventories, difficulties have been identified regarding the international/domestic split, in particular obtaining the information on passenger and freight drop-off and pick up at stops in the same country that was required by the *1996 IPCC Guidelines/GPG2000* (Summary report of ICAO/UNFCCC Expert Meeting April 2004). Most flight data are collected on the basis of individual flight segments (from one take-off to the next landing) and do not distinguish between different types of intermediate stops (as called for in *GPG2000*). Basing the distinction on flight segment data (origin/destination) is therefore simpler and is likely to reduce uncertainties. It is very unlikely that this change would make a significant change to the emission estimates ⁽²⁾. This does not change the way in which emissions from international flights are reported as a memo item and not included in national totals.

Improvements in technology and optimization of airline operating practices have significantly reduced the need for intermediate technical stops. An intermediate technical stop would also not change the definition of a flight as being domestic or international. For example if explicit data is available, countries may define international flight segments that depart one country with a destination in another country and make an intermediate technical stop. A technical stop is solely for the purpose of refuelling or solving a technical difficulty and not for the purpose of passenger or cargo exchange.

If national energy statistics do not already provide data consistent with this definition, countries should then estimate the split between domestic and international fuel consumption according to the definition, using the approaches set out below.

Top-down data can be obtained from taxation authorities in cases where fuel sold for domestic use is subject to taxation, but that for international use is not taxed. Airports or fuel suppliers may have data on delivery of aviation kerosene and aviation gasoline to domestic and to international flights. In most countries tax and custom dues are levied on fuels for domestic consumption, and fuels for international consumption (bunkers) are free of such dues. In the absence of more direct sources of data, information about domestic taxes may be used to distinguish between domestic and international fuel consumption.

Bottom-up data can be obtained from surveys of airline companies for fuel used on domestic and international flights, or estimates from aircraft movement data and standard tables of fuel

(2) It is good practice to clearly state the reasoning and justification if any country opts to use the *GPG2000* definitions.

consumed or both. Fuel consumption factors for aircraft (fuel used per LTO and per nautical mile cruised) can be used for estimates and may be obtained from the airline companies.

Examples of sources for bottom-up data, including aircraft movement, are:

- statistical offices or transport ministries as a part of national statistics,
- airport records,
- ATC (Air Traffic Control) records, for example Eurocontrol statistics,
- air carrier schedules published monthly by OAG which contains worldwide timetable passenger and freight aircraft movements as well as regular scheduled departures of charter operators. It does not contain ad-hoc charter aircraft movements.

Some of these sources do not cover all flights (e.g. charter flights may be excluded). On the other hand, airline timetable data may include duplicate flights due to code shares between airlines or duplicate flight numbers. Methods have been developed to detect and remove these duplicates. (Baughcum et al., 1996; Sutkus et al., 2001).

Large commercial aircraft

This includes aircraft that to a large extent reflect the 2004 operating fleet and some aircraft types for back compatibility, identified by minor models. To minimise table size, some aircraft minor models were grouped when LTO emissions factors were similar. The original data source for the Large Commercial Aircraft group LTO emissions factors is the ICAO Engine Exhaust Emissions Data Bank (ICAO, 2004a). The ICAO data is the basis for the further simulation of LTO and cruise emission factors made by MEET (1997) and ANCAT (1998) given in the from the accompanying spreadsheet to this chapter, which is available from the EMEP/EEA Guidebook website (www.eea.europa.eu/emep-eea-guidebook).

Turboprops

This group includes aircraft that are representative of the 2004 Turboprop fleet, which can be represented by three typical aircraft size based on engine shaft horsepower. The original data source for the Turboprop group LTO emissions factors is the Swedish Aeronautical Institute (FOI) LTO Emissions Database.

The equivalent data for regional jets, low-thrust jets (engines with thrust below 26.7 kN) and piston engine aircraft need to be obtained from other sources. The relationship between actual aircraft and representative aircraft types are provided in the Tables 3–7 and 3–8.

Aircraft fleet data may also be obtained from various sources. ICAO collects fleet data through two of its statistics sub-programmes: the fleet of commercial air carriers, reported by States for their commercial air carriers, and civil aircraft on register, reported by States for the civil aircraft on their register as of 31 December (ICAO 2004b).

Some ICAO States do not participate in this data collection, in part because of the difficulty surrounding splitting the fleet into commercial and non-commercial entities. Consequently, ICAO also makes use of other external sources. One of these sources is the International Register of Civil Aircraft, 2004, published by the Bureau Veritas (France), the CAA (UK) and ENAC (Italy) in cooperation with ICAO. This database contains the information from the civil aircraft registers of some 45 States (including the United States) covering over 450 000 aircraft.

In addition to the above, there are also commercial databases of which ICAO makes use. None cover the whole fleet as they have limitations in scope and aircraft size. Among these are the BACK Aviation Solutions Fleet Data (fixed-wing aircraft over 30 seats), AirClaims CASE database (fixed wing jet and turboprop commercial aircraft), BUCHAir, publishers of the JP Airline Fleet (covers both fixed- and rotary-wing aircraft). Other companies such as AvSoft may also have relevant information. Further information may be obtained from these companies' websites.

3.1.3 Military aircraft

Although military aviation is not reported here, it makes sense to include a basic description of the methodology in this chapter, appropriately cross-referenced from chapter for NFR code 1.A.5.

Military activity is defined here as those activities using fuel purchased by or supplied to the military authorities of the country. Emissions from aviation fuel use can be estimated using the Tier 1 algorithm and the same calculations approach recommended for civilian aviation. Some types of military transport aircraft and helicopters have fuel and emissions characteristics similar to civil types. Therefore, default emission factors for civil aircraft should be used for military aviation unless better data are available. Alternatively, fuel use may be estimated from the hours in operation. Default fuel consumption factors for military aircraft are given in Tables 3–9 and 3–10.

Military aircraft (transport planes, helicopters and fighters) may not have a civilian analogue, so a more detailed method of data analysis is encouraged where data are available. Inventory compilers should consult military experts to determine the most appropriate emission factors for the country's military aviation.

Due to confidentiality issues, many inventory compilers may have difficulty obtaining data for the quantity of fuel used by the military. Military activity is defined here as those activities using fuel purchased by or supplied to the military authorities in the country. Countries can apply the rules defining civilian, national and international aviation operations to military operations when the data necessary to apply those rules are comparable and available. In this case, the international military emissions may be reported under International Aviation (International Bunkers), but must then be shown separately. Data on military fuel use should be obtained from government military institutions or fuel suppliers. If data on fuel split is unavailable, all the fuel sold for military activities should be treated as domestic.

Emissions resulting from multilateral operations pursuant to the Charter of the United Nations (UN) should not be included in national totals; other emissions related to operations shall be included in the national emissions totals of one or more parties involved. The national calculations should take into account fuel delivered to the country's military, as well as fuel delivered within that country but used by the military of other countries. Other emissions related to operations (e.g. off-road ground support equipment) shall be included in the national emissions totals in the appropriate source category.

These data should be used with care as national circumstances may vary from those assumed in this table. In particular, distances travelled and fuel consumption may be affected by national route structures, airport congestion and air-traffic control practices.

3.2 Tier 1 fuel-based methodology

3.2.1 Algorithm

The Tier 1 approach for aviation is based on quantity of fuel consumption data for aviation split by LTO and cruise for domestic and international flights separately. The method uses a simple approach to estimate the split of fuel use between cruise and LTO, as shown schematically in Figure 3–2. (This approach was labelled the ‘very simple methodology’ in the previous version of the Guidebook.

The Tier 1 approach for aviation emissions uses the general equation;

$$E_{pollutant} = AR_{fuel\ consumption} \times EF_{pollutant} \quad (1)$$

where:

$E_{pollutant}$ = annual emission of pollutant for each of the LTO and cruise phases of domestic and international flights;

$AR_{fuel\ consumption}$ = activity rate by fuel consumption for each of the flight phases and trip types;

$EF_{pollutant}$ = emission factor of pollutant for the respective flight phase and trip type.

This equation is applied at the national level, using annual national total fuel use disaggregated by domestic and international flights. Information on fuel consumption for domestic and international flights should be available from national statistics as described above or is widely available from UN statistical yearbooks or national statistics. Aircraft emission estimates according to the Tier 1 approach can be obtained by following the steps detailed in subsection 3.2.3.

3.2.2 Default emission factors

Tier 1 emission factors ($EF_{Pollutant}$, Fuel type) assume an averaged technology for the fleet, and knowledge of the number of domestic and international LTO cycles for the nation. Default emission factors are presented in Table 3–3, but need statistics to be split into cruise and LTO as well as domestic and international.

Where statistics are available for fuel use and the number of LTOs by domestic and international flights, the assumptions on LTO fuel consumption below can be used to split these data by LTO and cruise using the following equation.

(AVIATION EQUATION 1)

$$Total\ fuel = LTO\ fuel + cruise\ fuel$$

Where:

(AVIATION EQUATION 2)

$$LTO\ fuel = number\ of\ LTOs \times fuel\ consumption\ per\ LTO$$

(AVIATION EQUATION 3)

$$\text{Cruise fuel} = \text{total fuel consumption} - \text{LTO fuel consumption}$$
3.2.2.1 Jet kerosene

Using the relationships above and the data in Table 3–3, the emissions for the four different NFR codes can be calculated.

Table 3–3 Emission factors and fuel use for the Tier 1 methodology using jet kerosene as fuel. Emission factors are given on a representative aircraft basis

Tier 1 emission factors									
Domestic	Fuel	SO ₂	CO ₂	CO	NO _x	NMVOC	CH ₄	N ₂ O	PM _{2.5}
LTO (kg/LTO) — average fleet (B737-400)	825	0.8	2600	11.8	8.3	0.5	0.1	0.1	0.07
LTO (kg/LTO) — old fleet (B737-100)	920	0.9	2900	4.8	8.0	0.5	0.1	0.1	0.10
Cruise (kg/tonne) — average fleet (B737-400)	-	1.0	3150	2.0	10.3	0.1	0	0.1	0.20
Cruise (kg/tonne) — old fleet (B737-100)	-	1.0	3150	2.0	9.4	0.8	0	0.1	0.20
International	Fuel	SO ₂	CO ₂	CO	NO _x	NMVOC	CH ₄	N ₂ O	PM _{2.5}
LTO (kg/LTO) — average fleet (B767)	1617	1.6	5094	6.1	26.0	0.2	0.0	0.2	0.15
LTO (kg/LTO) — average fleet (short distance, B737-400)	825	0.8	2600	11.8	8.3	0.5	0.1	0.1	0.07
LTO (kg/LTO) — average fleet (long distance, B747-400)	3400	3.4	10717	19.5	56.6	1.7	0.2	0.3	0.32
LTO (kg/LTO) — old fleet (DC10)	2400	2.4	7500	61.6	41.7	20.5	2.3	0.2	0.32
LTO (kg/LTO) — old fleet (short distance, B737-100)	920	0.9	2900	4.8	8.0	0.5	0.1	0.1	0.10
LTO (kg/LTO) — old fleet (long distance, B747-100)	3400	3.4	10754	78.2	55.9	33.6	3.7	0.3	0.47
Cruise (kg/tonne) — average fleet (B767)	-	1.0	3150	1.1	12.8	0.5	0.0	0.1	0.20
Cruise (kg/tonne) — old fleet (DC10)	-	1.0	3150	1.0	17.6	0.8	0.0	0.1	0.20

Notes:

1. Sulphur content of the fuel is assumed to be 0.05 % S (by mass) for both LTO and cruise activities.
2. Assuming a cruise distance of 500 nm for short distance flights and 3 000 nm for long distance flights.

Source: derived from ANCAT/EC2 1998, Falk 1999 and MEET 1999.

3. PM_{2.5} data (= PM₁₀ emissions).

Source: inferred from smoke data from ICAO database (ICAO 2006) using the methodology described in ICAO (2007).

3.2.2.2 Aviation gasoline

Aviation gasoline is assumed to only be used for domestic aviation. Table 3—4 provides the Tier 1 emission factors for NFR 1.A.3.a.ii.(i): Civil aviation (domestic, LTO) for gasoline fuelled aircraft. These emission factors are based on data for piston engine aircraft provided in Table 3—14. The 95 % confidence limits quoted are 50 % and 200 % of the mean values.

Table 3-4 Tier 1 emission factors for NFR 1.A.3.a.ii.(i): Civil aviation (domestic, LTO)

Tier 1 default emission factors					
	Code	Name			
NFR Source Category	1.A.3.a.ii.(i)	Civil aviation (domestic, LTO)			
Fuel	Jet Gasoline and Aviation Gasoline				
Not estimated	SO _x , NH ₃ , Pb, Cd, Hg, As, Cr, Cu, Ni, Se, Zn, PCDD/F, Benzo(a)pyrene, Benzo(b)fluoranthene, Benzo(k)fluoranthene, Indeno(1,2,3-cd)pyrene				
Not applicable	Aldrin, Chlordane, Chlordecone, Dieldrin, Endrin, Heptachlor, Heptabromo-biphenyl, Mirex, Toxaphene, HCH, DDT, PCB, HCB, PCP, SCCP				
Pollutant	Value	Unit	95% confidence interval		Reference
			Lower	Upper	
NO _x	4	kg/tonne fuel	2	8	Calc from Tier 2
CO	1200	kg/tonne fuel	600	2400	Calc from Tier 2
NMVOG	19	kg/tonne fuel	9.5	38	Calc from Tier 2
TSP	0	kg/tonne fuel	0	0	Use Road Transport
PM ₁₀	0	kg/tonne fuel	0	0	Use Road Transport
PM _{2.5}	0	kg/tonne fuel	0	0	Use Road Transport
(*) SO ₂	1	kg/tonne fuel	0.5	2	Assuming 0.05% S by mass

3.2.3 Calculation steps for Tier 1

The Tier 1 approach is based on the premise that data on the quantities of fuel sold for aviation use are available, most probably from nationally collected data. It also assumes that the annual quantity of fuel used is the same that sold.

Information on the country's total number of LTOs needs to be available, preferably also the destination (long and short distance) for international LTOs, together with a general knowledge about the aircraft types carrying out aviation activities.

Aircraft emission estimates according to the Tier 1 methodology can be obtained by following the steps below.

1. Obtain the *total* amount of *fuel* sold for all aviation (in ktonnes).
2. Obtain the amount of *fuel* used for *domestic* aviation only (in ktonnes).
3. Calculate the total amount of *fuel* used for *international* aviation by subtracting the domestic aviation (step 2) from the total fuel sold (step 1).
4. Obtain the total *number of LTOs* carried out for domestic aviation.
5. Calculate the *total fuel use for LTO* activities for domestic aviation by multiplying the number of domestic LTOs by the domestic fuel use factors for one representative aircraft (Table 3–3) (step 4 x fuel use for representative aircraft). Fuel use factors are suggested for an old and an average fleet.
6. Calculate the *fuel used for cruise* activities for domestic aviation by subtracting the fuel used for domestic LTO (step 5) from the total domestic fuel used (step 2).

7. Estimate the *emissions related to domestic LTO activities* by multiplying the emission factors (per LTO) for domestic traffic with the number of LTO for domestic traffic. Emission factors are suggested for an old and an average fleet by representative aircraft (Table 3–3).
8. Estimate the *emissions related to domestic cruise activities* by multiplying the respective emission factors (in emission/fuel used) in Table 3–3 with the domestic cruise fuel use. Emission factors are suggested for an old and an average fleet by representative aircraft.
9. Repeat step 4 to 8 substituting domestic activities with *international*. It is for international flights preferable to distinguish between short (< 1 000 nm ⁽³⁾) and long-distance flights (> 1000 nm). The latter is normally performed by large fuel consuming aircraft compared to the shorter distance flights (e.g. within Europe). If this distinction cannot be made the LTO emissions are expected to be largely overestimated in most countries.

3.3 Tier 2 method

3.3.1 Algorithms

The Tier 2 approach applies if it is possible to obtain information on LTOs per aircraft type but there is no information available on cruise distances. The level of detail necessary for this methodology is the aircraft types used for both domestic and international aviation, together with the number of LTOs carried out by the various aircraft types.

Apart from this level of further detail according to aircraft type, the algorithms are the same as for the Tier 1 approach:

$$E_{\text{pollutant}} = \sum_{\text{Aircraft types}} AR_{\text{fuel consumption, aircraft type}} \times EF_{\text{pollutant, aircraft type}} \quad (2)$$

where, analogous to before:

$E_{\text{pollutant}}$ = annual emission of pollutant for each of the LTO and cruise phases of domestic and international flights;

$AR_{\text{fuel consumption, aircraft type}}$ = activity rate by fuel consumption for each of the flight phases and trip types, for each aircraft type;

$EF_{\text{pollutant, aircraft type}}$ = emission factor of pollutant for the respective flight phase and trip type, for each aircraft type.

⁽³⁾ Where nm = nautical miles, 1 nm = 1 852 km.

3.3.2 Aircraft-type based emission factors

Table 3–5 lists LTO fuel consumption and emission factors for certain aircraft types.

Table 3-5 Examples of aircraft types and emission factors for LTO cycles as well as fuel consumption per aircraft type, kg/LTO

Aircraft type ^{a)}	CO ₂	CH ₄	N ₂ O ^{b)}	NO _x	CO	NM VOC	SO ₂ ^{c)}	PM _{2.5} ^{d)}	Fuel
A310	4853	0.5	0.2	23.2	25.8	5.0	1.5	0.14	1540.5
A320	2527	0.2	0.1	10.8	17.6	1.7	0.8	0.09	802.3
A330	7029	0.2	0.2	36.1	21.5	1.9	2.2	0.19	2231.5
A340	6363	1.9	0.2	35.4	50.6	16.9	2.0	0.21	2019.9
BAC1-11	2147	2.1	0.1	4.9	37.7	19.3	0.7	0.17	681.6
BAe146	1794	0.1	0.1	4.2	9.7	0.9	0.6	0.08	569.5
B727	4450	0.7	0.1	12.6	26.4	6.5	1.4	0.22	1412.8
B737 100	2897	0.1	0.1	8.0	4.8	0.5	0.9	0.10	919.7
B737 400	2600	0.1	0.1	8.3	11.8	0.6	0.8	0.07	825.4
B747 100-300	10754	3.7	0.3	55.9	78.2	33.6	3.4	0.47	3413.9
B747 400	10717	0.2	0.3	56.6	19.5	1.6	3.4	0.32	3402.2
B757	3947	0.1	0.1	19.7	12.5	1.1	1.3	0.13	1253.0
B767 300 ER	5094	0.1	0.2	26.0	6.1	0.8	1.6	0.15	1617.1
B777	8073	2.3	0.3	53.6	61.4	20.5	2.6	0.20	2562.8
DC9	2760	0.1	0.1	7.3	5.4	0.7	0.9	0.16	876.1
DC10	7501	2.3	0.2	41.7	61.6	20.5	2.4	0.32	2381.2
F28	2098	3.3	0.1	5.2	32.7	29.6	0.7	0.15	666.1
F100	2345	0.1	0.1	5.8	13.7	1.3	0.7	0.14	744.4
MD81-88	3160	0.2	0.1	12.3	6.5	1.4	1.0	0.12	1003.1

Notes:

1. (a) For CH₄ and NMVOC it is assumed that the emission factors for LTO cycles be 10 % and 90 % of total VOC (HC), respectively (Olivier, 1991). Studies indicate that during cruise no methane is emitted (Wiesen et al., 1994).

(b) Estimates based on IPCC Tier 1 default values.

(c) Sulphur content of the fuel is assumed to be 0.05% for both LTO and cruise activities.

(d) PM_{2.5} data (= PM₁₀ emissions).

Source: ICAO database (ICAO 2006) and ICAO 2007.

2. For the DC8 use double the fuel consumption of the B737-100 because it is fitted with four engines instead of two. MD90 goes as MD81-88 and B737-600 goes as B737-400.

Source: Derived from ANCAT/EC2 1998, Falk (1999) and MEET 1999.

3. Expert panel work with Eurocontrol in future will provide updated emission factors.

For jets, Table 3–6 provides a way of mapping some of the most important actual aircraft to the smaller list representative aircraft types in Table 3–5.

Table 3–6 Correspondence between representative jet aircraft and other jet aircraft types

Generic aircraft type			Generic aircraft type			Generic aircraft type					
ICAO	IATA		ICAO	IATA		ICAO	IATA				
Airbus A310	A310	310	Boeing 737-400	B734	734	Fokker 100	F100	100			
		312		B735	735	Fokker F-28	F28	F28			
		313		B736	736			TU3			
		A31		B737	737	Boeing 737-100 * 2	DC8	DC8			
Airbus A320	A318	318			73A			D8F			
		A319	319					D8M			
		A320	320					D8S			
		A321	321					707			
			32S					70F			
Airbus A330	A330	330			B86			IL6			
		332			JET			B72			
		333	Boeing 747-100-300	B741	741			VCX			
Airbus A340	A340	340			B742	742		McDonnell Douglas DC-9	DC9	D92	
		342			B743	743			D93		
		343				747			D94		
BAe 111	BA11	B11			74D			D95			
		B15			74E			D98			
		CRV			74F			D9S			
		F23			A4F			DC9			
		F24			74L			F21			
		YK4			74M			YK2			
BAe 146	BA46	141			74R			McDonnell Douglas DC-10	DC10	D10	
		143			IL7				D11		
		146			ILW				D1C		
		14F			C51				D1F		
									L10		
Boeing 727	B721	721	Boeing 747-400	B744	744			L11			
		B722	Boeing 757	B752	757			L12			
		B727		B753	75F			L15			
		72A			TR2						
		72F	Boeing 767-300						M11		
		72M	ER	B763	762				M1F		
		72S			763						
		TU5			767				McDonnell Douglas M82	MD81-88	717
		TRD			AB3				MD90	M80	
					AB4					M81	
Boeing 737-100	B731	731			AB6			M82			
		B732			A3E			M83			
		B733			ABF			M87			
		DAM	Boeing 777	B772	777			M88			
			B773	772			M90				
				773							

Notes:

1. MD90 goes as MD81-88 and B737- 600 goes as B737- 400.
2. DC8 goes as double the B737- 100. F50, Dash8 — see separate table.

Turboprops may be classified by the number of seats they contain, and use this classification to provide representative aircraft types, see Table 3–7. Table 3–8 contains an overview of smaller aircraft types.

Table 3–7 Classification of turboprops

	Representative aircraft*
Up to 30 seats	Dornier 328
Up to 50 seats	Saab 2000
Up to 70 seats	ATR 72

* More representative aircraft are included in the accompanying spreadsheet to the chapter (available from the EMEP/EEA Guidebook website www.eea.europa.eu/emep-eea-guidebook), if the actual turboprop in use is known.

Table 3–8 Overview of smaller aircraft types

Aircraft type	Aircraft category/engine principle	Maximum take-off weight according to Frawley's	Rank in Danish inventory 1998
Can_CL604 (CL60)	L2J	18	19
Canadair RJ 100 (CARJ)	L2J	24	17
CitationI (C500)	L2J	5.2	10
Falcon2000 (F2TH)	L2J	16.2	-
Falcon900 (F900)	L3J	20.6	8
Avro_RJ85 (BA46)	L4J	42	1
C130 (C130)	L4T	70.3	1
P3B_Orion (L188)	L4T	52.7	2
AS50 (AS50)	H1T	2	2
S61 (S61)	H2T	8.6	1

Notes:

L = landplane, H= helicopter, J = jet engine, T = turboprop, 1, 2 or 4 equals the number of engines.

Source: Supplied by Danmarks Miljøundersøkelser.

3.3.3 Calculation steps for Tier 2

The Tier 2 methodology is predominantly a top down (fuel sold) methodology that uses statistics on aviation fuel consumption (split by domestic and international). To split the fuel use by LTO and cruise detailed LTO activity and knowledge of aircraft fleet composition are needed to provide a more accurate inventory as opposed to using only average emission factors per mass of fuel used (the Tier 1 approach). The Tier 2 methodology should include all types of aircraft frequently used for domestic and international aviation. The Tables 3–6 and 3–7 provides a way of mapping actual aircraft to representative aircraft types in the database.

The approach can best be described by the following steps.

1. Obtain the *total amount of fuel* sold for all aviation (in ktonnes).
2. obtain the total amount of *fuel* used for *domestic aviation* (in ktonnes);
3. calculate the amount of *fuel used for international aviation* by subtracting the domestic aviation (step 2) from the total fuel sold (step 1) (in ktonnes);

4. Obtain the total *number of LTOs* carried out *per aircraft type* for domestic aviation. Group the aircraft into the groups of generic aircraft given in the Tables 3–6 and 3–7. Use Table 3–8 for miscellaneous smaller aircraft.
5. Calculate the *fuel use for LTO activities* per aircraft type for domestic aviation. For each aircraft type, multiply the fuel use factor in the accompanying spreadsheet to this chapter (available from the EMEP/EEA Guidebook website www.eea.europa.eu/emep-eea-guidebook) corresponding to the specific aircraft type in the Tables 3–6 and 3–7 with the number of domestic LTOs carried out for the generic aircraft (fuel use factor in LTO for aircraft type * number of LTOs with the same aircraft type). The calculations are carried out for all types of generic aircraft. Calculate the total fuel use for LTO activities by summing all contributions found under step 5 for domestic aviation. If some types of national aircraft in use are not found in the table, use a similar type taking into account size and age. For LTOs for smaller aircraft and turboprops, see also section on non-IFR flights. Their emissions will have to be estimated separately, by a simpler method.
6. Calculate the total *fuel use for domestic cruise* by subtracting the total amount of fuel for LTO activities found in step 6 from the total in step 2 (estimated as in the Tier 1 methodology).
7. Estimate the *emissions from domestic LTO activities* per aircraft type. The number of LTOs for each aircraft type is multiplied by the emission factor related to the particular aircraft type and pollutant. This is done for all generic aircraft types. Relevant emission factors can again be found in the accompanying spreadsheet to this chapter (available from the EMEP/EEA Guidebook website www.eea.europa.eu/emep-eea-guidebook). If some types of national aircraft in use are not found in the latter database, use a similar type taking into account size and age. For LTOs for smaller aircraft, see also section on non-IFR flights. Their emissions will have to be estimated separately by a simpler method.
8. Estimate the emissions from domestic *cruise activities*. Use the domestic cruise fuel use and the corresponding emission factor for the most common aircraft type used for domestic cruise activities (the Tier 1 methodology or Tier 3 methodology). Relevant emission factors can be found in Table 3–3 or accompanying spreadsheet for the Tier 3 methodology (available from the EMEP/EEA Guidebook website www.eea.europa.eu/emep-eea-guidebook).
9. Calculate the *total emissions for LTO activities* for domestic aviation. Add up all contributions from the various aircraft types as found under step 7. The summations shall take place for each of the pollutants for which emissions are to be estimated (for CO₂, NO_x, SO₂, etc.).
10. Calculate the *total emissions for cruise activities* for domestic aviation. Add up all contributions from the various types of aircraft types as found under step 8). The summations shall take place for each of the pollutants for which emissions are to be estimated (for CO₂, NO_x, SO₂, etc.).
11. Repeat the calculation (step 4-10) for *international aviation*.

3.3.4 Abatement

Technology abatement approach not relevant for this methodology.

3.3.5 Military aircraft

The Tier 2, i.e. aircraft type-specific, methodology is also applicable to calculating the emissions from military aircraft. However, it should be noted that the reporting of emissions from military aircraft is under NFR code 1.A.5, not 1.A.3.a.

There are two potential activity indicators:

- total fuel used by military aircraft, or
- number of flight hours, per aircraft type, multiplied by average fuel consumption in kg/hr.

Tables 3–9 and 3–10 provide generic and aircraft specific fuel consumption data for military aircraft. The emission factors given in Table 3–3 (which are per unit of fuel combusted) can then be used with the fuel used data to calculate emissions.

Table 3-9 Fuel consumption factors for generic military aircraft

Group	Sub-group	Representative type	Fuel flow kg/hour
1. Combat	Fast jet — high thrust	F16	3283
	Fast jet — low thrust	Tiger F-5E	2100
2. Trainer	Jet trainers	Hawk	720
	Turboprop trainers	PC-7	120
3. Tanker/transport	Large tanker/transport	C-130	2225
	Small transport	ATP	499
4. Other	MPAs, maritime patrol	C-130	2225

Source: ANCAT, British Aerospace/Airbus.

Table 3-10 Fuel consumption per flight hour for specific military aircraft

Aircraft Type	Aircraft description	Fuel use (litres per hour)
A-10A	Twin engine light bomber.	2 331
B-1B	Four engine long-range strategic bomber. Used by USA only.	13 959
B-52H	Eight engine long-range strategic bomber. Used by USA only.	12 833
C-12J	Twin turboprop light transport. Beech King Air variant.	398
C-130E	Four turboprop transport. Used by many countries.	2 956
C-141B	Four engine long-range transport. Used by USA only.	7 849
C-5B	Four engine long-range heavy transport. Used by USA only.	13 473
C-9C	Twin engine transport. Military variant of DC-9.	3 745
E-4B	Four engine transport. Military variant of Boeing 747.	17 339
F-15D	Twin engine fighter.	5 825
F-15E	Twin engine fighter-bomber.	6 951
F-16C	Single engine fighter. Used by many countries.	3 252
KC-10A	Three engine tanker. Military variant of DC-10.	10 002
KC-135E	Four engine tanker. Military variant of Boeing 707.	7 134
KC-135R	Four engine tanker with newer engines. Boeing 707 variant.	6 064
T-37B	Twin engine jet trainer.	694
T-38A	Twin engine jet trainer. Similar to F-5.	262

3.4 Tier 3 flight- and aircraft-type methodology

The Tier 3 methodologies are based on actual flight movement data, either for Tier 3A origin and destination (OD) data or for Tier 3B full flight trajectory information. Hence these methodologies are bottom-up, flight-based, rather than top-down calculation-based on the fuel consumed.

Tier 3A takes into account cruise emissions for different flight distances. Hence details on the origin (departure) and destination (arrival) airports and aircraft type are needed to use this approach, for both domestic and international flights. In Tier 3A, inventories are modelled using average fuel consumption and emissions data for the LTO phase and various cruise phase lengths, for an array of representative aircraft categories.

The data used in Tier 3A methodology takes into account that the amount of emissions generated varies between phases of flight. The methodology also takes into account that fuel burn is related to flight distance, while recognising that fuel burn can be comparably higher on relatively short distances than on longer routes. This is because aircraft use a higher amount of fuel per distance for the LTO cycle compared to the cruise phase.

Tier 3B methodology is distinguished from Tier 3A by the calculation of fuel burnt and emissions throughout the full trajectory of each flight segment using aircraft- and engine-specific aerodynamic performance information. To use Tier 3B, sophisticated computer models are required to address all the equipment, performance and trajectory variables and calculations for all flights in a given year.

Models used for Tier 3B level can generally specify output in terms of aircraft, engine, airport, region, and global totals, as well as by latitude, longitude, altitude and time, for fuel burn and emissions of CO, hydrocarbons (HC), CO₂, H₂O, NO_x, and SO_x. To be used in preparing annual inventory submissions, Tier 3B model must calculate aircraft emissions from input data that take into account air-traffic changes, aircraft equipment changes, or any input-variable scenario.

The components of Tier 3B models ideally are incorporated so that they can be readily updated, so that the models are dynamic and can remain current with evolving data and methodologies. Examples of models include the system for assessing Aviation's Global Emissions (SAGE) by the United States Federal Aviation Administration (Kim, 2005 a and b; Malwitz, 2005) and AERO2k (Eyers, 2004) by the European Commission.

The Tier 3 methodology described in this chapter only relates to Tier 3A.

3.4.1 Algorithm

As for Tier 2 EFs for CO₂, SO₂, heavy metals are based on the fuel used, and the EFs for POPs (PAHs and dioxin) and PM are calculated from the PM_{2.5} emissions. The emissions of NO_x, HC, CO and smoke, as well as the fuel used, are calculated on a flight by flight basis using EFs available from the accompanying spreadsheet to the chapter, which is available from the EMEP/EEA Guidebook website (www.eea.europa.eu/emep-eea-guidebook).

An illustrative data set for a Boeing 737-400 is given in Table 3–11. How this data may be used to calculate the emission from a flight is illustrated with the example in subsection 3.4.4 of the present chapter.

3.4.2 Tier 3 emission factors

3.4.2.1 IFR flights

The emission factors for the Tier 3 methodology are listed in the accompanying spreadsheet to the chapter, which is available from the EMEP/EEA Guidebook website (www.eea.europa.eu/emep-eea-guidebook).

Table 3–11 Illustrative data set for Boeing 737-400

		Standard flight distances (nm) [1nm = 1.852 km]						
		125	250	500	750	1000	1500	2000
Distance (km)	Climb/cruise/descent	231.5	463	926	1389	1852	2778	3704
Fuel (kg)	Flight total	1603.1	2268.0	3612.8	4960.3	6302.6	9187.7	12167.6
	LTO	825.4	825.4	825.4	825.4	825.4	825.4	825.4
	Taxi out	183.5	183.5	183.5	183.5	183.5	183.5	183.5
	Take off	86.0	86.0	86.0	86.0	86.0	86.0	86.0
	Climb out	225.0	225.0	225.0	225.0	225.0	225.0	225.0
	Climb/cruise/descent	777.7	1442.6	2787.4	4134.9	5477.2	8362.3	11342.2
	Approach landing	147.3	147.3	147.3	147.3	147.3	147.3	147.3
	Taxi in	183.5	183.5	183.5	183.5	183.5	183.5	183.5
NO_x (kg)	Flight total	17.7	23.6	36.9	48.7	60.2	86.3	114.4
	LTO	8.3	8.3	8.3	8.3	8.3	8.3	8.3
	Taxi out	0.784	0.784	0.784	0.784	0.784	0.784	0.784
	Take off	1.591	1.591	1.591	1.591	1.591	1.591	1.591
	Climb out	3.855	3.855	3.855	3.855	3.855	3.855	3.855
	Climb/cruise/descent	9.462	15.392	28.635	40.425	51.952	78.047	106.169
	Approach landing	1.240	1.240	1.240	1.240	1.240	1.240	1.240
	Taxi in	0.784	0.784	0.784	0.784	0.784	0.784	0.784
EINO_x (g/kg fuel)	Taxi out	4.27	4.27	4.27	4.27	4.27	4.27	4.27
	Take off	18.51	18.51	18.51	18.51	18.51	18.51	18.51
	Climb out	17.13	17.13	17.13	17.13	17.13	17.13	17.13
	Climb/cruise/descent	12.17	10.67	10.27	9.78	9.49	9.33	9.36
	Approach landing	8.42	8.42	8.42	8.42	8.42	8.42	8.42
	Taxi in	4.27	4.27	4.27	4.27	4.27	4.27	4.27
HC (g)	Flight total	817.6	912.9	995.8	1065.2	1118.1	1240.4	1374.1
	LTO	666.8	666.8	666.8	666.8	666.8	666.8	666.8
	Taxi out	321.18	321.18	321.18	321.18	321.18	321.18	321.18
	Take off	3.09	3.09	3.09	3.09	3.09	3.09	3.09
	Climb out	10.58	10.58	10.58	10.58	10.58	10.58	10.58
	Climb/cruise/descent	150.78	246.13	329.05	398.47	451.33	573.67	707.37
	Approach landing	10.74	10.74	10.74	10.74	10.74	10.74	10.74
	Taxi in	321.18	321.18	321.18	321.18	321.18	321.18	321.18
EIHC (g/kg fuel)	Taxi out	1.75	1.75	1.75	1.75	1.75	1.75	1.75
	Take off	0.04	0.04	0.04	0.04	0.04	0.04	0.04
	Climb out	0.05	0.05	0.05	0.05	0.05	0.05	0.05
	Climb/cruise/descent	0.19	0.17	0.12	0.10	0.08	0.07	0.06
	Approach landing	0.07	0.07	0.07	0.07	0.07	0.07	0.07
	Taxi in	1.75	1.75	1.75	1.75	1.75	1.75	1.75
CO (g)	Flight total	14252.5	15836.0	17525.5	19060.6	20369.3	23298.2	26426.3
	LTO	11830.9	11830.9	11830.9	11830.9	11830.9	11830.9	11830.9
	Taxi out	5525.45	5525.45	5525.45	5525.45	5525.45	5525.45	5525.45
	Take off	77.19	77.19	77.19	77.19	77.19	77.19	77.19
	Climb out	202.29	202.29	202.29	202.29	202.29	202.29	202.29
	Climb/cruise/descent	2421.54	4005.06	5694.59	7229.65	8538.39	11467.26	14595.41
	Approach landing	500.54	500.54	500.54	500.54	500.54	500.54	500.54
	Taxi in	5525.45	5525.45	5525.45	5525.45	5525.45	5525.45	5525.45

B737 400		Standard flight distances (nm) [1nm = 1.852 km]						
		125	250	500	750	1000	1500	2000
EICO (g/kg fuel)	Taxi out	30.11	30.11	30.11	30.11	30.11	30.11	30.11
	Take off	0.90	0.90	0.90	0.90	0.90	0.90	0.90
	Climb out	0.90	0.90	0.90	0.90	0.90	0.90	0.90
	Climb/cruise/descent	3.11	2.78	2.04	1.75	1.56	1.37	1.29
	Approach landing	3.40	3.40	3.40	3.40	3.40	3.40	3.40
	Taxi in	30.11	30.11	30.11	30.11	30.11	30.11	30.11

This provides details of NO_x, hydrocarbon, CO emissions and fuel usage for the different phases of flights of different distances. For other species the fuel based values given for the Tier 2 methodology, Table 3–4, should be used in conjunction with the fuel usage data.

3.4.2.2 Fuel consumption and emission factors for representative aircraft types

The emission factors for NO_x, hydrocarbons, CO and the fuel used for all the components of a flight (see Figure 2–2) are available from the accompanying spreadsheet to the chapter (available from the EMEP/EEA Guidebook website www.eea.europa.eu/emep-eea-guidebook) for the representative jet and turboprop (TP) aircraft types listed in Table 3–12.

Table 3–12 Representative aircraft types given in the accompanying spreadsheet to this chapter (available from the EMEP/EEA Guidebook website)

Airbus A310 (jet)	Fokker F28 (jet)	Dornier 328 – 110 (TP)
Airbus A320 (jet)	Fokker F82 (jet)	De Havilland DHC-3 Turbo-otter (TP)
Airbus A330 (jet)	McDonald Douglas 82 (jet)	De Havilland Dash 7 (TP)
Airbus A340 (jet)	Swearingen Metro III (TP)	De Havilland Dash 8 Q400 (TP)
BAC 1-11 (jet)	Shorts SC.7 Srs3M – 200 (TP)	Cessna 208 Caravan (TP)
BAe 146 (jet)	Shorts 330 (TP)	Beech Super King Air 350 (TP)
Boeing 727 (jet)	Shorts 360 – 300 (TP)	Beech Super King Air 200B (TP)
Boeing 737 100 (jet)	Saab 340B (TP)	Beech 1900C Airliner (TP)
Boeing 747 100 - 300 (jet)	Saab 2000 (TP)	BAe Jetstream 31 (TP)
Boeing 747 400 (jet)	Reims F406 Caravan II (TP)	BAe Jetstream 41 (TP)
Boeing 757 (jet)	Lockheed P – 3B Orion (TP)	ATR 72-200 (TP)
Boeing 767 300 ER (jet)	Lockheed C – 130H Hercules (TP)	ATR 42-320 (TP)
Boeing 777 (jet)	Fokker 50 Srs 100 (TP)	Antonov 26 (TP)
DC 9 (jet)	Fokker 27 Friendship (TP)	
DC 10 – 3 (jet)0	Embraer 110 Bandeirante P2A (TP)	

3.4.2.3 Non-IFR flights

There is little information available on emission factors for non-IFR flights and it is at present not possible to recommend default emission factors. Generally, the NO_x emission factors will be lower and the CO and VOC factors substantially higher than for IFR flights.

Fuel consumption factors are given for two categories of aircraft (Cessna and others) to be used if other information of fuel used is not available (Table 3–13). Please note that the tables apply to single engine aircraft only. If the aircraft is fitted with two engines (e.g. Cessna 500), then double

the fuel consumption. Ranges of emission factors are shown in MEET (1997). A summary is given in Table 3–14.

Some emission factors and fuel use factors for helicopters and military flights are given in Tables 3–15, 3–16 and 3–17. Also note that many types of military aircraft may have civil equivalents.

Table 3–13 Fuel consumption for piston-engined aircraft, litre/hour

Cessna C 152, C 172, C 182 (single engine)	0 feet altitude	2000 feet alt.	4000 feet alt
75 % power (=135 HP)	41	42	no data
70 % power (=126 HP)	37	38	39
65 % power (=117 HP)	33.5	34	34.5

Note:

For an average use 36 litre/hour.

Robin (French aircraft), various Piper types (single engine)	0 feet altitude	4000 feet alt.
70 % power	36.5	no data
64 % power	34	33.5
58 % power	31	31

Note:

For an average use 33 litre/hour.

Table 3–14 Examples of emission factors for piston-engined aircraft, g/kg fuel

	NO _x	HC	CO	SO ₂
Netherlands FL 0-30	2.70	20.09	1,054	0.21
FL 30-180	4.00	12.50	1,080	0.17
Germany	3.14	18.867	798	0.42

Note:

Multiply FL by 100 to obtain the altitude in feet.

Source: MEET Deliverable No 18.

Table 3–15 Examples of emission factors for helicopters and military flights [g/kg fuel]

	Nature of flights	NO _x	HC	CO	SO ₂
Germany	LTO-cycle	8.3	10.9	39.3	1.1
	helicopter cruise	2.6	8.0	38.8	1.0
	combat jet	10.9	1.2	10.0	0.9
	cruise 0.46-3 km	10.7	1.6	12.4	0.9
	cruise > 3 km	8.5	1.1	8.2	0.9
Netherlands	Average	15.8	4.0	126	0.2
	F-16	15.3	3.36	102	0.2
Switzerland	LTO-Cycle	4.631	2.59	33.9	1.025
	cruise	5.034	0.67	14.95	0.999

Source: MEET Deliverable No 18.

Table 3–16 Emission factors for helicopters of Germany

g/kg	NO _x	HC	CO	SO ₂
Germany: cruise	2.6	8.0	38.8	0.99
Netherlands: cruise	3.1	3.6	11.1	0.20
Switzerland	13.3	0.3	1.1	0.97

Source: MEET Deliverable No 18.

Table 3–17 Fuel consumption factors for military aircraft

Group	Sub-group	Representative type	Fuel flow kg/hour
1. Combat	Fast jet — high thrust	F16	3283
	Fast jet — low thrust	Tiger F-5E	2100
2. Trainer	Jet trainers	Hawk	720
	Turboprop trainers	PC-7	120
3. Tanker/transport	Large tanker/transport	C-130	2225
	Small transport	ATP	499
4. Other	MPAs, maritime patrol	C-130	2225

Source: ANCAT, British Aerospace/Airbus

3.4.3 Activity data

The Tier 3 methodology is based on actual flight movement data.

Emissions are calculated using the emission factors described in subsection 3.4.2, and the flight movement data obtained nationally.

3.4.3.1 The aircraft movement methodology (Tier 3) for IFR flights

The total emissions from aircraft are given by the sum of emissions from various technologies of aircraft in a continuous set of flying modes. In this methodology we will simplify the calculations by classifying the aircraft into a representative set of generic aircraft types and into two classes of flying modes, that of LTO and that of cruise. However, the methodology allows adjustment for actual times-in-mode of LTO at individual airports. This method also permits the use of individual aircraft/engine combinations if the data are available.

The methodology involves the following steps.

1. Select the aircraft and flight details from National data, for example Civil Aviation records, airport records, an ATC provider such as Eurocontrol in Europe, or the OAG timetable. This will identify the aircraft that were used in the inventory period, the number of LTOs for each and the mission distance flown. For the aircraft actually flying, select the aircraft used to represent them from the table of equivalent aircraft (Tables 3–6 and 3–7). This is called the ‘representative aircraft’. Use Table 3–8 for miscellaneous smaller aircraft. See also subsection

3.4.3.2 on non-IFR flights. Their emissions will have to be estimated separately, by a simpler method.

2. Note the distance of the mission. See subsection 3.1.2 'activity data' for a description of how this may be determined.
3. From the attached spreadsheets (also available from the Task Force Secretariat and website), select the data corresponding to the LTO phase for the representative aircraft, for both fuel used and all emissions. The fuel used and associated emissions from this table represent the fuel and emissions in the boundary layer below 3 000 ft (914 m). This gives an estimate of emissions and fuel used during the LTO phase of the mission.
4. From the table of representative aircraft types vs. mission distance (attached spreadsheets), select the aircraft, and select the missions which bracket the one which is actually being flown. The fuel used is determined as an interpolation between the two. This is an estimate of fuel used during operations above 3 000 ft (914 m) (cruise fuel use).
5. The total quantity of fuel used for the mission is the sum of the fuel used for LTO plus the fuel used in all operations above 3 00 ft (914 m).
6. Now apply step 4 to the table of pollutants (NO_x, CO and HC) emitted vs. mission distance and here again interpolate between the missions, which bracket the one being flown. This is an estimate of emissions during operations above 3 000 ft (914 m) (cruise emissions).
7. The total of pollutants emitted during the flight is the sum of the pollutants emitted in LTO plus the quantity emitted in the rest of the mission.

See subsection 3.4.4 for an example on how to apply the method.

The use of energy, and therefore emissions, depends on the aircraft operations and the time spent at each stage. Table 2–2 shows engine power settings and times-in-mode for the LTO-cycle specified by ICAO (ICAO, 1993). The actual operational time-in-mode might vary from airport to airport depending on the traffic, environmental considerations, aircraft types as well as topographical conditions. The proportion of fuel used in a mission which is attributed to LTO decreases as mission distance increases. Thus a substantial part of the fuel consumption takes place outside the LTO-cycle. Studies indicate that the major part of NO_x (60–80 %), SO₂ and CO₂ (80–90 %) is emitted at altitudes above 1 000 m. For CO it is about 50 % and for VOC it is about 20–40 % (Olivier, 1991).

Where *times-in-modes* are different from the assumptions made in this report, corrections may be made from basic data in the spreadsheets (also available from the Task Force Secretariat and website) or in the ICAO databank.

Please note: the total estimated fuel use for domestic aviation must be compared to sales statistics or direct reports from the airline companies. If the estimated fuel deviates from the direct observation, the main parameters used for estimating the fuel must be adjusted in proportion to ensure that the mass of fuel estimated is the same as the mass of fuel sold.

3.4.3.2 Non IFR-flights

For some types of military or pleasure aircraft the numbers of hours in flight is a better activity indicator for estimating the fuel used and the emissions produced than the number of LTOs. In some cases the quantity of fuel used may be directly available.

- Compile information on fuel used by aircraft category. The fuel types, kerosene and aviation gasoline should be reported separately. If not directly available, estimate the fuel used from the hours of operation and fuel consumption factors.
- Select the appropriate emission factors and fuel use factors from Tables 3–13 to 3–17.
- Multiply the fuel consumption data in tonnes by the fuel-based emission factors to obtain an annual emission estimate.

3.4.4 *An illustrative example*

A B737-400 aircraft is travelling a mission distance of 1 723 nm. Based on the data given in Table 3.11, we want to estimate the fuel use:

- the fuel use for LTO is taken directly from the table and is 825 kg (independent of mission distance);
- for operation above 3 000 feet (cruise/climb/descent), the fuel used is $8\,362 + ((11\,342 - 8\,362) * (1\,723 - 1\,500) / (2\,000 - 1\,500)) = 9\,691$ kg

The emissions of the various pollutants may be estimated in the same way:

- the LTO NO_x may be read directly from the table = 8.3 kg;
- for operation above 3 000 feet (flight less LTO), the NO_x is $78 + ((106 - 78) * (1\,723 - 1\,500) / (2\,000 - 1\,500)) = 90.5$ kg

EINO_x for the mission is therefore $(8.3 + 90.5) \text{ kg} / (826 + 9\,691) \text{ kg} = 8.9 \text{ g NO}_x \text{ per kg fuel}$. This may be used as a check to ensure that no arithmetic error has been made in the calculations.

For pollutants not given in the Table 3–11 we recommend using the Tier 2 approach based on the estimate fuel use calculated using the Tier 3 approach.

3.5 Species profiles

Since very few experiments have been reported where the exhaust gas from aircraft turbines has been analysed in detail, it is not possible to give a specific species profile. In terms of NO_x and VOC, the profiles vary with the thrust setting of the aircraft and therefore on the activity. In terms of aircraft cruise, it is not possible to obtain accurate estimates for emission factors.

In terms of the LTO activity, the situation is similar. Attempts have been made to estimate the composition of the VOC profile. Shareef et al., (1988) have estimated a VOC profile for a jet engine based on an average LTO cycle for commercial and general aviation. The composition is presented in Table 3–18.

PAH species profiles can be found in USEPA (1999), but not all species are available.

Please note that the thrust setting during the landing and the take-off of the aircraft are different (see Table 2–2). Therefore, it is likely that the species profile will be different for the two situations.

Table 3–18 The VOC profile for a jet engine based on an average LTO cycle for commercial and general aviation

Compound in VOC profile	Percentage of total VOC (weight)	
	Commercial aircraft	General aviation
Ethylene	17.4	15.5
Formaldehyde	15.0	14.1
C ₆ H ₁₈ O ₃ Si ₃	9.1	11.8
Methane	9.6	11.0
Propene	5.2	4.6
Acetaldehyde	4.6	4.3
C ₈ H ₂₄ O ₄ Si ₄	2.9	4.2
Ethyne	4.2	3.7
Acetone	2.4	2.9
Glyoxal	2.5	2.5
Acrolein	2.3	2.1
Butene	2.0	1.8
Benzene	1.9	1.8
1,3-butadiene	1.8	1.6
Methyl glyoxal	2.0	1.8
n-dodecane	1.1	1.2
Butyraldehyde	1.2	1.2
Others < 1%	14.8	13.9
Others	<1	<1
Total	100	100

Source: Shareef et al., 1988

4 Data quality

4.1 Completeness

Regardless of method, it is important to account for all fuel used for aviation in the country. The methods are based on total fuel use, and should completely cover CO₂ emissions. However, the allocation between LTO and cruise will not be complete for Tier 2 method if the LTO statistics are not complete. Also, Tier 2 method focuses on passenger and freight carrying scheduled and charter flights, and thus not all aviation. In addition, Tier 2 method does not automatically include non-scheduled flights and general aviation such as agricultural airplanes, private jets or helicopters, which should be added if the quantity of fuel is significant. Completeness may also be an issue where military data are confidential; in this situation it is good practice to aggregate military fuel use with another source category.

Other aviation-related activities that generate emissions include fuelling and fuel handling in general, maintenance of aircraft engines and fuel jettisoning to avoid accidents. Also, in the wintertime, anti-ice and de-ice treatment of wings and aircraft is a source of emissions at airport complexes. Many of the materials used in these treatments flow off the wings when planes are idling, taxiing, and taking off, and then evaporate. These emissions are, however, very minor and specific methods to estimate them are not included.

There are additional challenges in distinguishing between domestic and international emissions. As each country's data sources are unique for this category, it is not possible to formulate a general rule regarding how to make an assignment in the absence of clear data. It is good practice to specify clearly the assumptions made so that the issue of completeness can be evaluated.

4.2 Double counting with other sectors

Emissions and fuel from over-flights are excluded from these calculations to avoid double counting of emissions.

4.3 Verification

The methodology presented here could be used with international flight statistics (for example ATC providers) to provide a crosscheck against estimates made by individual national experts on the basis of national fuel and flight statistics.

National estimates may be checked against central inventories like ANCAT (1998) and NASA (1996) for 1991/92 and 1992, respectively.

Estimated emissions and fuel use per available seat kilometres travelled may also be compared between countries and aircraft types to ensure the credibility of the data which have been collected.

4.4 Uncertainty assessment

The uncertainties of the estimated aircraft emissions are closely associated with the emission factors assigned to the estimations.

The emissions of CO₂ (and fuel use) are generally determined with a higher accuracy than the other pollutants.

4.4.1 Tier 1 approach

The accuracy of the distribution of fuel between domestic and international will depend on the national conditions.

The use of 'representative' emission factors may contribute significantly to the uncertainty. In terms of the factors relating to the LTO activities, the accuracy is better than for cruise (due to the origin of the factors from which the average values are derived from). It would be hard to calculate a quantitative uncertainty estimate. The uncertainty may however lie between 20–30 % for LTO factors and 20–45 % for the cruise factors.

4.4.2 Tier 2 approach

The accuracy of the distribution of fuel between domestic and international will depend on the national conditions. The uncertainties lie mainly in the origin of the emission factors. There is a high uncertainty associated with the cruise emission factors.

4.4.3 Tier 3 approach

Uncertainties lie in emission factors for the engines. ICAO (1995) estimates that the uncertainties of the different LTO factors are approximately 5–10 %. For cruise, the uncertainties are assumed to be 15–40 %.

4.5 Inventory quality assurance/quality control QA/QC

No specific issues.

4.6 Gridding

Airports and emissions should be associated with the appropriate territorial unit (e.g. country). The airports can be divided into territorial units in the following way:

- the fuel and emissions from specific airports can be identified, and then summed to show the emissions from region, which in turn can be summed for a country as a whole. Airports located in the various territorial areas should be identified;
- from the total national emission estimate emissions can be distributed to the territorial areas and airports using a key reflecting the aviation activity (e.g. the number of landings and take-off cycles) between territorial areas and airports.

4.7 Reporting and documentation

No specific issues.

4.8 Areas for improvements in current methodology

The list given below summarises causes for concern and areas where further work may be required.

LTO

- It is a key priority to update the fuel consumption and emission factors with data from Eurocontrol, in order to better reflect the emission performance of today's aircraft in use.
- Estimates of fuel used and emissions based on ICAO cycles (refer to ICAP Annex 16, Volume I) it may not reflect accurately the situation of aircraft and airport operations.
- The relationship between the minor pollutants and the regulated pollutants (HC, CO, NO_x) may need to be investigated in more detail.

Emissions above 3 000 ft (914 m)

- It is a key priority to update the fuel consumption and emission factors with data from Eurocontrol, in order to better reflect the emission performance of today's aircraft in use.
- The emission factors and fuel use for short distances (125 and 250 nm) are difficult to model and the suggested values are highly uncertain.
- The actual distance flown compared with great circle distances that are given in the OAG timetable may vary by up to 10–11 % in Europe (ANCAT/EC2 1998).
- The actual altitude flown will vary according to air traffic management constraints compared with ideal altitudes flown by the PIANO computer model used by the UK Department for Business, Enterprise and Regulatory Reform (BERR). Altitude will influence fuel consumed (lower cruise altitudes equal higher fuel consumption rate and hence also the emissions) and also the rate of production of NO_x.

PM emissions, including PM_{2.5}

There is a fundamental inconsistency between PM emissions (TSP, PM₁₀ and PM_{2.5}) reported by LRTAP Parties to the EMEP Centre for Emission Inventories and Projections (CEIP), evident by there being variable ratios in PM_{2.5}/TSP and PM_{2.5}/PM₁₀. The most common value reported is 1.00, i.e. it is assumed that all PM emissions from aircraft can be viewed as PM₁₀. This is the relationship assumed in this Guidebook.

5 Glossary and abbreviations

AERONOX	EU-project 'The impact of NO _x -emissions from aircraft upon the atmosphere at flight altitudes 8-15 km' (AERONOX, 1995)
ANCAT	Abatement of Nuisance Caused by Air Transport, a technical committee of the European Civil Aviation Conferences (ECAC)
ATC	Air Traffic Control
CAEP	Committee on Aviation Environmental Protection
ICAO	International Civil Aviation Organisation
IPCC	Intergovernmental Panel on Climate Change
LTO	Landing/Take-off

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7 Point of enquiry

Enquiries concerning this chapter should be directed to the relevant leader(s) of the Task Force on Emission Inventories and Projection's expert panel on Transport. Please refer to the expert panel's website (<http://tfeip-secretariat.org/expert-panels-transport/>) for the contact details of the current expert panel leaders.

Appendix A Projections

Future aircraft emissions will be determined by the volume of air traffic, new aircraft technologies and the rate at which the aircraft fleet changes.

According to the IPCC (1999), total global passenger-km will grow by 5 % annually between 1990 and 2015 with a corresponding growth in fuel use of 3 % per year over the same period. The difference is explained by an anticipated improvement in aircraft fuel efficiency. The anticipated growth rates in individual countries will probably be described in the transport plans, which should be available from national Ministries of Transport.

Over the last 30 years, aircraft engines have improved in efficiency, and due to the high cost of fuel, this trend is expected to continue. As mentioned in subsection 3.7, it is expected that tightening the emission regulations will lead to a decrease in NO_x emission factors.

NO_x may be reduced by introducing engines fitted with double annular combustion chambers (MEET, 1998). This technology has been implemented in new aircraft e.g. B737-600. Proposed average changes in emission factors are shown in Table A1. Note that these may be larger or smaller according to the rate at which the aircraft fleet is renewed (see below).

Table A1 Changes in emission factors relative to current level (baseline scenario)

	NO _x	CO	HC
2010	-10 %	-6 %	-6 %
2020	-20 %	-27 %	-24 %

Research is being undertaken on engines to substantially reduce emissions of NO_x, CO and HC (MEET 1998). However, the time scale over which the results from this research will become commercially available is unclear, and therefore their use in baseline projections is not recommended.

Research is also ongoing to improve the aircraft design to further improve fuel efficiency. Also using new materials may prove to be beneficial (MEET, 1998). In a baseline scenario an annual improvement of average fuel efficiency of 1.5–2.5 % is recommended.

The rate of change of the aircraft fleet depends very much on the country of operation. Although an aircraft is expected to have a long life, typically 25 to 35 years, it will often be sold to other operators, possibly in other countries, and possibly converted to other uses (for example for carrying freight). Noise regulations may also influence the rate of change of aircraft fleet. For a projection of national emissions, it is expected that the major airlines are in a position to provide the most accurate information on anticipated fleet changes as part of their long-term plans. An analysis of future aircraft fleet made by UK BERR (MEET, 1998) is shown in Table A2.

Table A2 World fleet age profile in 2010 and 2020 (%)

Age (years)	2010	2020
0–5	27.6	32.5
6–10	20.5	22.9
11–15	19.7	17.8
16–20	23.5	16.2
21–25	8.6	10.6

Note:

Growth of fleet from 2010 to 2020 is 26 %.

The commercial use of alternative fuels in aircraft is still a long way off and should not be incorporated into any national baseline emission projection. Hydrogen is the most likely alternative to kerosene (MEET, 1998). This fuel will be more efficient and has lower emissions compared to kerosene (producing NO_x and water vapour, but no carbon compounds). However, the life-cycle emissions depend on how the hydrogen is produced. Hydrogen is very energy-demanding to produce, and introducing hydrogen as an alternative fuel will also require massive investments in ground infrastructure in addition to rebuilding aircraft.

Appendix B Additional comments on emission factors

ICAO (1995) (exhaust emission databank) provides basic aircraft engine emission data for certificated turbojet and turbofan engines covering the rate of fuel used, and the emission factors for HC, CO and NO_x at the different thrust settings used. Other relevant emission data are derived from other sources. The exhaust emission databank is now accessible via the internet, via URL www.caa.co.uk/default.aspx?categoryid=702&pagetype=90. In addition to HC, CO and NO_x this version also contains emission factors for smoke at the different thrust settings (columns BL to BO of the databank in reference ICAO 2006). PM emission factors can be derived from those for smoke, the methodology used for this conversion (the so-called First Order Approximation version 3 - FOA3) is published in ICAO (2007).

The heavy metal emissions are, in principle, determined from the metal content of kerosene or gasoline. Thus, general emission factors for stationary combustion of kerosene and combustion of gasoline in cars may be applied. The only exception is lead. Lead is added to aviation gasoline to increase the octane number. The lead content is higher than in leaded car gasoline, and the maximum permitted levels in UK are shown below.

Lead content of aviation gasoline, UK

AVGAS designation	Maximum lead content (as Tetra ethyl lead)
AVGAS 80	0.14 g/l
AVGAS low lead 100	0.56 g/l
AVGAS 100	0.85 g/l

A value of 0.6 g lead per litre gasoline should be used as the default value if there is an absence of better information. Actual data may be obtained from the oil companies.

There is little information on particulate matter from aircraft. In Petzol et al. (1999) and Döpelheuer et al. (1998) data are published for various aircraft types. Petzol (1999) also describes the particle size. For newer aircraft the size distribution is dominated by particles with a diameter between 0.025 and 0.15 µm. For newer aircraft (certificated after 1976), e.g. A300, B737 and DC10 the emission factor is found to be about 0.01 g/kg fuel. Döpelheuer (1998) also gives data for different phases of the flight for A300. The factor is higher at take-off (0.05 g/kg) and lower at cruise (0.0067 g/kg), while the factor for climb and descent is about 0.01 g/kg.

From combustion science principles it is anticipated that the PM_{2.5}/PM₁₀ ratio for aircraft engines will be similar to, or higher than, that for internal combustion engines. Given that the ratio for IC engines is found to be 94 %, it is reasonable to assume that for aircraft their PM emissions can be considered as PM_{2.5}. The PM_{2.5}/PM₁₀ ratio most commonly used when reporting values within EMEP is 1.0. This is the relationship assumed in this Guidebook.

Little information is currently available about possible exhaust emissions of Persistent Organic Pollutants (POPs) from aircraft engines. USEPA has derived a PAH-16/VOC fraction of 1.2*10⁻⁴ and a PAH-7/VOC fraction of 1.0*10⁻⁶ for commercial aviation (USEPA 1999). PAH-7 here includes the four UNECE PAHs and three additional species.

Emissions of water (H₂O) may be derived from the fuel consumption at the rate of 1 237 kg water/kg fuel.

Using the emission factors, special emphasis should be put on the assumptions of the weight per cent of sulphur (assumed at 0.05 %). If the sulphur percent of the fuel used is different, this should be taken into account. If the sulphur per cent used for example is 0.01 % instead of 0.05 %, the emission factor should be divided by 5 to show the true factor.