Annex to:

National adaptation policy processes in European countries — 2014









Annex 1: Self-assessment of the adaptation policy process in EEA member countries

Name of lead organisation responsible for the reply (including contact details):

We encourage involving other stakeholders with whom you work in filling out this self-assessment (e.g. researchers, EPAs, NGOs).

List of other stakeholder organisations also involved in filling out this form:

Part I: General statements on adaptation

1) In my country, in the past five years, the level of public awareness of the need for adaptation as a response to climate change has increased

□ strongly agree □ agree □ neutral □ disagree □ strongly disagree □ don't know

- In my country, the need for climate change adaptation has reached the national political agenda
 □ strongly agree □ agree □ neutral □ disagree □ strongly disagree □ don't know
- 3) In my country, the following influences have triggered adaptation ...

Please select the three most important triggers:

- □...Extreme weather events
- □...Damage costs
- $\Box ... \mathsf{UNFCCC} \ \mathsf{process}$
- \Box ...EU policies
- \Box ...Adaptation in other countries
- □...Individuals
- \Box ...Scientific research
- \Box ...Public pressure
- □...Lobbying from private sector
- □...Front-runner sectors
- □...Media coverage
- 4) In my country, the willingness to develop policies and to take adaptation actions at national level is

 \Box very high $\Box\,$ high $\Box\,$ medium $\Box\,$ low $\Box\,$ very low $\Box\,$ don't know

5) In my country, in the past five years, there has been an increase in the amount of adaptation-related knowledge (e.g. in relation to vulnerabilities, adaptation options) generated with the aim of informing policy making

 \Box strongly agree \Box agree \Box neutral \Box disagree \Box strongly disagree \Box don't know

6) In my country, uncertainties in future projections (e.g. uncertainties regarding climate change) are explicitly addressed in the adaptation policy process

□ strongly agree □ agree □ neutral □ disagree □ strongly disagree □ don't know

7) In my country, adaptation objectives are based on an understanding of the impacts, risks and/or vulnerabilities to climate change

 \Box strongly agree \Box agree \Box neutral \Box disagree \Box strongly disagree \Box don't know

8) In my country, integration of adaptation into sectoral policies and programmes is increasing

 \Box strongly agree \Box agree \Box neutral \Box disagree \Box strongly disagree \Box don't know

Please provide examples: Please insert text

9) In my country, aspects that are relevant to climate change mitigation are taken into account in the adaptation policy process

□ strongly agree □ agree □ neutral □ disagree □ strongly disagree □ don't know

Please provide examples:

Please insert text

10) In my country, transnational co-operation is considered as an element in our adaptation policy process

□ strongly agree □ agree □ neutral □ disagree □ strongly disagree □ don't know

Please provide examples:

Please insert text

11) In my country, the following barriers for adaptation have been identified...

Please select the three most important barriers:

- □...Lack of political commitment/will
- \Box ...Unclear responsibilities
- □...Limited cooperation among stakeholders
- □...Lack of (financial, human) resources
- \Box ...Uncertainties
- □...Lack of information (e.g. socio-economic data, guidance)
- □...Lack of exchange of knowledge
- ... Limited capacity in the policy, practitioner and/or research communities
- \Box ... Conflicting values and interests
- □...Inadequate adaptation options available
- □...Legal issues

Please use the box below to provide any further comments and thoughts related to the questions above or any information that you wish to share with us (e.g. reports, references):

Please insert text and upload documents

Part II: The adaptation policy process

12) In what stage of the adaptation policy process is your country in?

- □...Adaptation process has not started
- □...Agenda setting (i.e. adaptation is politically recognised as important)
- ...Formulation (i.e. responsible actors respond by formulating adaptation policies)
- $\Box\ldots Decision$ (i.e. policymakers have adopted an adaptation policy)
- $\hfill\square\dots$ Implementation (i.e. measures foreseen in the policy are being implemented)
- ...Monitoring and evaluation (i.e. review and updates of policy/actions)

Prepare the ground for adaptation

13) How would you assess the mechanism put in place at national level to coordinate the horizontal integration (i.e. integration into sectors) of the adaptation policy process?

□ very effective □ effective □ medium effective □ less effective □ not effective □ no mechanism in place □ don't know

14) How would you assess the mechanism put in place at national level to coordinate the vertical integration (from national to local level) of the adaptation policy process?

□ very effective □ effective □ medium effective □ less effective □ not effective □ no mechanism in place □ don't know

15) Please give a short description of the horizontal and vertical coordination of adaptation policy in your country. Please also indicate who has been involved and what mechanism for coordination has been used (please also indicate if these mechanisms have changed in the different stages of the process, e.g. from formulation to implementation).

Horizontal coordination Please insert text Vertical coordination Please insert text What was crucial for successful coordination? Please insert text What was challenging or missing? Please insert text

Identify risks and explore options

16) Are risk assessments or vulnerability assessments available for your country?

 \Box yes \Box currently under development \Box not yet, but planned \Box no \Box don't know

If yes: available at

 \Box national level \Box sub-national level \Box trans-national level

Please provide references to relevant documents, also if available at sub-national or trans-national level (e.g. Länder, provinces, regions, communities, river-basin):

Please insert text and provide references/upload documents

Upload documents. Select files from your computer - they will be uploaded when you click the Save button at the end of this page.

17) In relation to the risk and vulnerability assessment available at national level, which of the following sectors have been covered?

Coverage Sectors	Covered in the national assessment	Covered in sector- based assessment led by ministries in charge of this sector	Covered in sector- based assessment led by private sector or industry groups	
Agriculture				
Forestry				

Biodiversity		
Human health		
Water		
Marine and fisheries		
Coastal areas		
Mountain areas		
Tourism		
Transport		
Energy		
Built environment		
Spatial planning, urban planning		
Disaster risk reduction		
Civil protection		
Industry		
Business and services		
Financial/Insurance		
Cultural heritage		
Cross-sectoral impacts		
Others		
Others		
Others		

18) In relation to the national assessment, how is/was the risk or vulnerability assessment process coordinated (e.g. who commissioned the work; who carried it out)? What methodological approach (e.g. literature review, modelling, expert appraisal) has been used? How have uncertainties been addressed?

Coordination Please insert text

Methodological approach Please insert text

- 19) In relation to the national assessment, have you identified the costs of climate change impacts and the costs and benefits of adaptation? Please insert text
- 20) In relation to the national assessment, what kind of information is still needed for risk or vulnerability assessments?

Please select the three most important issues:

- \Box ...Uncertainty estimates
- □...Local/community level information
- $\Box ... Consideration of different time periods$
- $\Box\ldots \text{Estimates}$ of costs
- \Box ...Estimates of benefits
- \Box ...Information on interdependencies across sectors

 \Box ...Consideration of social issues \Box ...Others

21) In relation to the national assessment, do you plan to update the risk or vulnerability assessments?

□ not planned □ planned but work has not started □ update of assessment has started □ update already available □ don't know

Are there requirements to do so on a regular basis? Please explain.

Please insert text

22) Have you identified and assessed adaptation options on the basis of risk or vulnerability assessments?

 \Box yes \Box currently under development \Box not yet, but planned \Box no \Box don't know

If yes: options are available at

- □...national level
- □...sub-national level
- □...trans-national level
- □...sectoral level
- □...cross-sectoral level
- □...other
- 23) How have you identified and assessed adaptation options?
 - □...Expert judgement
 - □...Participatory processes
 - \Box ...Cost and benefit assessment
 - □...Multi-criteria analyses
 - \Box ...Others
- 24) Please indicate the types of adaptation options identified:
 - ... Grey options (i.e. technological, such as river flood defence, beach nourishment)
 - □... Green options (i.e. ecosystem-based approaches that use nature's multiple services, such as crop diversification, enhancing the ability of indigenous plant and animal species to move across landscapes)
 - □... Soft options (i.e. managerial, legal and policy approaches, such as awareness-raising initiatives, passing legislation, creating early warning systems, insurance, planning instruments)
 - ... Combined options
 - □... Others
- 25) Have you prioritised adaptation options?
 □ yes □ currently under development □ not yet, but planned □ no □ don't know
- 26) If you have prioritised adaptation options, which methodological approach (e.g. expert judgment, multi-criteria analysis) has been used? Please insert text
- 27) Have the adaptation options been included in an action plan? Please insert text and provide reference/upload documents

Upload documents. Select files from your computer - they will be uploaded when you click the Save button at the end of this page.

Implementation, monitoring and evaluation

28) Please provide a maximum of three examples of what you would consider as 'good practices' in adaptation that have already been put in place in your country. Please indicate why they are considered to be 'good practice'? *Please insert text*

- 29) What are your plans for integrating new information and insights into existing adaptation policies? *Please insert text*
- 30) In my country, monitoring, reporting and evaluating schemes for adaptation policies are ...

Monitoring

□ not planned □ planned □ under development □ currently being implemented

Reporting

□ not planned □ planned □ under development □ currently being implemented

Evaluation

 $\hfill\square$ not planned $\hfill\square$ planned $\hfill\square$ under development $\hfill\square$ currently being implemented

In case you have selected "Under-development" or "Currently being implemented", please provide additional information and upload related documents.

Please insert text

Upload documents. Select files from your computer - they will be uploaded when you click the Save button at the end of this page.

Part III: Level of adaptation and policy instruments in sectors

31) Please highlight the relevant sectors/areas in your country and assess the current state of adaptation at various levels:

Please score the state of adaptation using the following scale:

Selected value Meaning

- 0= adaptation is not relevant for my country
- 1= need for adaptation not recognised and no measures implemented yet
- 2= coordination activities for adaptation started
- 3= some adaptation measures identified for the sector but not yet implemented
- 4= portfolio of adaptation measures identified and implementation (of some) launched
- 5= portfolio of adaptation measures implemented
- 6= portfolio of adaptation measures in place and monitored/evaluated

Use the arrows or the keyboard to input digits from 0 to 6

Level	Adaptation at national level	Adaptation at sub- national level (e.g. provinces, regions)	Adaptation at local or city-level	Priority sectors/areas for implementation	Please provide some examples if you have indicated that the adaptation state is 4, 5 or 6
Agriculture	Please select	Please select	Please select		
Forestry	Please select	Please select	Please select		
Biodiversity	Please select	Please select	Please select		
Human	Please	Please	Please		

health	select	select	select	
Water	Please select	Please select	Please select	
Marine and fisheries	Please select	Please select	Please select	
Coastal areas	Please select	Please select	Please select	
Mountain areas	Please select	Please select	Please select	
Tourism	Please select	Please select	Please select	
Transport	Please select	Please select	Please select	
Energy	Please select	Please select	Please select	
Built environment	Please select	Please select	Please select	
Spatial planning, urban planning	Please select	Please select	Please select	
Disaster risk reduction	Please select	Please select	Please select	
Civil protection	Please select	Please select	Please select	
Industry	Please select	Please select	Please select	
Business and services	Please select	Please select	Please select	
Financial/Ins urance	Please select	Please select	Please select	
Cultural heritage	Please select	Please select	Please select	
Others	Please select	Please select	Please select	
Others	Please select	Please select	Please select	

- 32) Are you using policy instruments (see question 33 below for examples) for implementing adaptation actions?□ yes □ under development □ no □ don't know
- 33) If you are already using instruments for implementing adaptation, what are the main instruments in the different sectors in your country?

Instruments Sectors	Information (e.g. dissemination, education, guidelines)	Main- streaming	Financial support (e.g. subsidies, taxes)	Legislation	Partnering instruments (e.g. PPPs)	Action plans	Others
Agriculture							
Forestry							

Biodiversity				
Human health				
Water				
Marine and fisheries				
Coastal areas				
Mountain areas				
Tourism				
Transport				
Energy				
Built environment				
Spatial planning, urban planning				
Disaster risk reduction				
Civil protection				
Industry				
Business and services				
Financial/ Insurance				
Cultural heritage				
Others				
Others				

34) If (additional) instruments for implementation are planned in your country, what are the main instruments you are considering?

Please insert text

35) What are the most important financing mechanisms currently in place (or the mechanisms that will be considered in the future) for implementing adaptation in the sectors you have identified as relevant in your country?

Financing mechanisms Sectors	Explicit budgetary allocations	Project based public support	Public- private partnerships	Insurance mechanisms	Others
Agriculture					
Forestry					
Biodiversity					
Human health					
Water					
Marine and fisheries					
Coastal areas					

Mountain areas			
Tourism			
Transport			
Energy			
Built environment			
Spatial planning, urban planning			
Disaster risk reduction			
Civil protection			
Industry			
Business and services			
Financial/ Insurance			
Cultural heritage			
Others			
Others			

Please use the box below to provide any further comments and thoughts related to the questions above or any information that you wish to share with us (e.g. reports, references):

Please insert text and upload documents

Upload documents. Select files from your computer - they will be uploaded when you click the Save button at the end of this page.

- 36) In your country, how do you address transboundary issues? Which instruments facilitate your work (e.g. EU Regional Policy, EU Interreg projects, biogeographical regions and regional adaptation strategies)? Please insert text
- 37) In your country, how do you coordinate adaptation activities across regions or municipalities? Which instruments facilitate your work (e.g. River Basin Management Plans, flood risks mapping, spatial planning taking account of the diversity of territories)? Please insert text

Part IV: Involvement of stakeholders

- 38) Have you involved stakeholders in the adaptation policy process? \Box No \Box Yes
- 39) If yes, how would you assess the general contribution of stakeholders/actors engagement in the adaptation policy process?

□ very important □ important □ neutral □ less important □ not important

40) The three tables below allow you to identify the stakeholders involved and the format of their involvement for the development (1st table), implementation (2nd table) and monitoring and evaluation (3rd table) phases of the adaptation process.

Level of involvement:

Information given =	information has been provided to stakeholders (e.g. web-sites, newsletter, reports, informative meetings)
Information gathered =	information has been collected from stakeholders (e.g. online-survey)
Consultation =	feedback on policy draft proposals has been obtained from stakeholders (e.g. written feedback on policy drafts)
Active involvement =	stakeholders have actively been involved in, and have had the possibility to shape decision- making in the adaptation policy (e.g. advisory committees)
Partnerships =	decision-making power is redistributed through negotiation between responsible authority and stakeholders
Empowerment =	final decision is in the hands of the stakeholders

From the national perspective, what has been the involvement of stakeholders in the **development phase** of the adaptation policy process?

Format of involvement Stakeholders	Information given	Information gathered	Consultation	Active involvem ent	Partnerships	Empowerment
Governmental stakeholders from national level (e.g. policy makers, public administration, governmental agencies)						
Governmental stakeholders from sub- national level (including local level)						
Private sector						
Interest groups (e.g. farmers' association, NGOs)						
Scientists/ researchers						
General public						
Others						

From the national perspective, what has been the involvement of stakeholders in the **implementation phase** of the adaptation policy process?

Format of involvement	Information given	Information gathered	Consultation	Active involvem ent	Partnerships	Empowerment
Stakeholders						
Governmental stakeholders from national level <i>(e.g. policy</i>						

Format of involvement Stakeholders	Information given	Information gathered	Consultation	Active involvem ent	Partnerships	Empowerment
makers, public administration, governmental agencies)						
Governmental stakeholders from sub- national level <i>(including local level)</i>						
Private sector						
Interest groups (e.g. farmers' association, NGOs)						
Scientists/ researchers						
General public						
Others						

From the national perspective, what has been the involvement of stakeholders in the **monitoring and evaluation** of the adaptation policy process?

Format of involvement Stakeholders	Information given	Information gathered	Consultation	Active involveme nt	Partnerships	Empowerment
Governmental stakeholders from national level (e.g. policy makers, public administration, governmental agencies)						
Governmental stakeholders from sub- national level <i>(including local level)</i>						
Private sector						
Interest groups (e.g. farmers' association, NGOs)						
Scientists/						

Format of involvement	Information given	Information gathered	Consultation	Active involveme nt	Partnerships	Empowerment
Stakeholders						
researchers						
General public						
Others						

41) From your experience, what are the three most important elements in running a successful stakeholders process?

Please insert text

Please use the box below to provide any further comments and thoughts related to the questions above or any information that you wish to share with us (e.g. reports, references):

Please insert text and upload documents

Upload documents. Select files from your computer - they will be uploaded when you click the Save button at the end of this page.

Part V: Open questions on next steps

42) What are the next steps your country is planning? Please provide information on the following issues and the related timing (addressing both national and sub-national levels):

Risk or vulnerability assessments (e.g. consider other sectors) *Please insert text*

Legislation to support adaptation *Please insert text*

Implementation Please insert text

Monitoring/reporting and evaluation *Please insert text*

Updating strategy/plan Please insert text

Others Please insert text

- In your opinion, what are the key issues that will shape the adaptation policy process in your country in the coming years? Please provide a maximum of three issues:
 Please insert text
- What kind of adaptation support (e.g. knowledge, information, decision support tools) would you need from the European level?
 Please insert text

Thank you for your contribution!



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