

1 Introduction

Why further late lessons from early warnings?

The 2013 *Late lessons from early warnings* report is the second of its type produced by the European Environment Agency (EEA) in collaboration with a broad range of external authors and peer reviewers.

Volume 1 of *Late lessons from early warnings: the precautionary principle 1896–2000* published in 2001, looked at the history of a selection of occupational, public health and environmental hazards and asked whether we could have been better at taking action early enough to prevent harm. Twelve key lessons for better decision-making were drawn from cases where public policy was formulated against a background of scientific uncertainty and 'surprises' — and where clear evidence of hazards to people and the environment was often ignored (see box on page 11).

The 14 case studies and 12 key lessons from the 2001 report remain highly pertinent today, and underline four main reasons for a second report. The first relates to expanding the late lessons approach to consider long-known, important additional issues with broad societal implications such as lead in petrol, mercury, environmental tobacco smoke and DDT, as well as issues from which lessons have emerged more recently such as the effects of the contraceptive pill on feminisation of fish and the impacts of insecticides on honeybees.

The second concerns filling an acknowledged gap in the 2001 report, by analysing the issue of false positives where government regulation was undertaken based on precaution but later turned out to be unnecessary. Most of the cases examined in the *Late lessons from early warnings* reports are 'false negatives' — instances where early warnings existed but no preventive actions were taken.

The third reason is to address the rapid emergence of new society-wide challenges such as radiation from mobile phones, genetically-modified products, nanotechnologies and invasive alien species as well as if, how and where precautionary actions can play a role.

The final reason relates to how precautionary approaches can help manage the fast-changing, multiple, systemic challenges the world faces today, what new insights can be drawn in this context and how these can underpin opportunities for sustainable innovations and, supported by information technologies, greater public participation in their selection.

Overall approach

As for Volume 1, the approach in Volume 2 has been to include a wide range of relevant case studies produced by external authors along with chapters written by members of the report's editorial team (see acknowledgements section for details). The relevant topics for case study treatment were selected on the basis of advice from the editor, in collaboration with the editorial team and an advisory board, members of the EEA Scientific Committee and the Collegium Ramazzini (¹).

The chapters in Volume 2 are grouped into five parts: A. Lessons from health hazards; B. Emerging lessons from ecosystems; C. Emerging issues; D. Costs, justice and innovation; and E. Implications for science and governance.

The chapters have been written by authors who, to varying degrees, have had substantial involvement in the subject area being addressed. Indeed they would not have been approached if

⁽¹⁾ The Collegium Ramazzini is an independent, international academy founded in 1982 by Irving J. Selikoff, Cesare Maltoni and other eminent scientists. Its mission is to advance the study of occupational and environmental health issues and to be a bridge between the world of scientific discovery and the social and political centers, which must act on the discoveries of science to protect public health.

they had not already extensively studied the case that they were asked to write about. All of them, as respected experts in their fields and in line with their professional scientific training, were expected to be as objective as possible in answering the questions put to them by EEA. To support this, and to develop consistency between chapters, the authors were provided with seven structuring questions to be followed when building their chapter.

The case studies have been peer-reviewed by recognised experts in the respective fields who gave of their time freely and provided their feedback within a set of editorial guidelines provided by the EEA.

Scope

The report has been designed, structured and written in order to, *inter alia*, help politicians, policymakers and the public to:

- i understand better the ways in which **scientific knowledge** is financed, created, evaluated, ignored, used and misused in taking timely and precautionary decisions about how to reduce harms, whilst stimulating benign innovations and generating useful employment;
- ii learn from some **very expensive 'mistakes' in the past** so as to help societies make fewer mistakes now, and in the future, especially with some of the relatively new, largely unknown, yet already widespread technologies like nanotechnology and mobile phones;
- iii be aware of less visible, important factors such as the skewed ways in which the **costs of actions and inactions** for hazardous technologies have been estimated, and the role that **some businesses** have played in ignoring early warnings and in manufacturing doubt about the science supporting such warnings;
- iv consider how the law, or administrative arrangements, could be better used to deliver **justice, to those people (and ecosystems) that have been, or could be, harmed** by poorly designed, or badly deployed, innovations;
- v explore how best to **engage the public** in helping to make **strategic choices over innovations**, and their technological and social pathways, as well as their involvement in **ecosystems management** and in long term monitoring through **citizen science**.

Part A of the report commences with an analysis of 'false positives' showing that these are few and far between as compared to false negatives and that carefully designed precautionary actions can stimulate innovation, even if the risk turns out not to be real or as serious as initially feared. The remaining nine chapters address false negatives — lead in petrol, perchlorethylene contaminated water, Minamata disease, occupational beryllium disease, environmental tobacco smoke, vinyl chloride, dibromochloropropane (DBCP), Bisphenol A and dichlorodiphenyltrichlorethane (DDT) — from which three common themes emerge: there was more than sufficient evidence for much earlier action; slow and sometimes obstructive behaviour by businesses whose products endangered workers, the public and the environment; and the value of independent scientific research and risk assessments.

Part B focuses on emerging lessons from the degradation of natural systems and their wider implications for society — booster biocides, the pill and the feminisation of fish, climate change, floods, insecticides and honeybees as well as ecosystem resilience more broadly. It considers, like its predecessor, the issues of scientific evidence as the basis for action/inaction, the multiple, often complex factors and feedback loops in play, many of which are not fully understood, as well as the interfaces between science, policy and society and how all actors can move together towards necessary actions in the context of heightened systemic risks and substantial unknowns.

Part C analyses some newly emerging and large-scale products, technologies and trends, which potentially offer many benefits but also potentially much harm to people and ecosystems and thereby ultimately economic development. Cases addressed include the Chernobyl and Fukushima nuclear accidents; genetically modified agricultural crops and agroecology; the growing threat of invasive alien species; mobile phones and the risk of brain tumours; and nanotechnologies. There is often little science, and very little direct hindsight, to assist in the management of these emerging technologies but the lessons from the historical case studies need to be applied if hazards are to be avoided.

The evidence from the chapters in Part C is that, by and large, societies are not making the most use of the costly lessons that can be gleaned from their histories. A key question is how this can be improved given the many reasons identified from the case studies why taking actions have been delayed including: the novel

and challenging nature of the issues themselves; poorly or inconsistently evaluated information; strong opposition by the corporate and scientific establishments of the day; and the tendency by the decision-making institutions, practices and cultures to favour the status quo and the short term perspective. This section also illustrates the value of bottom-up as well as top-down approaches to innovations in ensuring that the directions of technological pathways, the equitable distributions of benefits, costs and knowledge ownership, and the diversity of locally sensitive technological options are relevant to the food, energy and ecosystems crises.

The historical chapters illustrate numerous harms which for the most part have been caused by irresponsible corporations. This fact, coupled with shortcomings in how decisions are made by governments on when to act on early warnings, and in the law when it comes to compensating victims of harm, are analysed in three chapters in Part D of the report. Each chapter analyses the reasons behind prevailing practice and then goes on to offer insights, for example, on how cost

calculation methods can be improved; on how insurance schemes could be used to compensate future victims of harm; and on the reasons why businesses frequently ignore early warnings.

The cases in Parts A–D form the basis for considering in Part E the governance implications for science, public policy and public engagement, and how current practices could be improved to enable society to maximise the benefits of innovations while minimising harms. The main insights are that science could be more relevant for precautionary decision-making; that the wider use of the precautionary principle can avert harm and stimulate innovation; and that the late lessons of history and precautionary approaches are highly pertinent to today's multiple and inter-connected crises – such as those arising from finance, economics, the use of ecosystems, climate change, and the use and supply of energy and food.

Finally, many of the historical and recent case studies illustrate the value of engaging the public in broadening the knowledge base and stimulating robust innovations.

Twelve late lessons

Based on the case studies of Volume 1 of *Late lessons from early warnings* (EEA, 2001), twelve key lessons for better decision-making were drawn:

- 1 Acknowledge and respond to ignorance, as well as uncertainty and risk, in technology appraisal and public policymaking
- 2 Provide adequate long-term environmental and health monitoring and research into early warnings
- 3 Identify and work to reduce 'blind spots' and gaps in scientific knowledge
- 4 Identify and reduce interdisciplinary obstacles to learning
- 5 Ensure that real world conditions are adequately accounted for in regulatory appraisal
- 6 Systematically scrutinise the claimed justifications and benefits alongside the potential risks
- 7 Evaluate a range of alternative options for meeting needs alongside the option under appraisal, and promote more robust, diverse and adaptable technologies so as to minimise the costs of surprises and maximise the benefits of innovation
- 8 Ensure use of 'lay' and local knowledge, as well as relevant specialist expertise in the appraisal
- 9 Take full account of the assumptions and values of different social groups
- 10 Maintain the regulatory independence of interested parties while retaining an inclusive approach to information and opinion gathering
- 11 Identify and reduce institutional obstacles to learning and action
- 12 Avoid 'paralysis by analysis' by acting to reduce potential harm when there are reasonable grounds for concern

Source: EEA, 2001, *Late lessons from early warnings: the precautionary principle 1986–2000*, Environmental issues report No 22, European Environment Agency.